Cytokines induced during chronic hepatitis B virus infection promote a pathway for NK cell–mediated liver damage

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Hepatitis B virus (HBV) causes chronic infection in more than 350 million people worldwide. It replicates in hepatocytes but is non-cytopathic; liver damage is thought to be immune mediated. Here, we investigated the role of innate immune responses in mediating liver damage in patients with chronic HBV infection. Longitudinal analysis revealed a temporal correlation between flares of liver inflammation and fluctuations in interleukin (IL)-8, interferon (IFN)-α, and natural killer (NK) cell expression of tumor necrosis factor–related apoptosis–inducing ligand (TRAIL) directly ex vivo. A cross-sectional study confirmed these findings in patients with HBV–related liver inflammation compared with healthy carriers. Activated, TRAIL-expressing NK cells were further enriched in the liver of patients with chronic HBV infection, while their hepatocytes expressed increased levels of a TRAIL death–inducing receptor. IFN–α concentrations found in patients were capable of activating NK cells to induce TRAIL-mediated hepatocyte apoptosis in vitro. The pathogenic potential of this pathway could be further enhanced by the ability of the IFN–α/IL-8 combination to dysregulate the balance of death–inducing and regulatory TRAIL receptors expressed on hepatocytes. We conclude that NK cells may contribute to liver inflammation by TRAIL–mediated death of hepatocytes and demonstrate that this non-antigen–specific mechanism can be switched on by cytokines produced during active HBV infection.
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NK cell effector function is a result of the balance of signals through their activatory and inhibitory receptors, a balance that is influenced by the local cytokine milieu. Furthermore, NK cells can be directly activated to antiviral activity by certain cytokines, with IFN-α promoting cytotoxicity (21) and TRAIL expression (22), and IL-12 favoring IFN-γ production (21). IFN-α production characterizes the early stages of acute viral infections, but it is unclear whether its release can also be triggered by fluctuations in viral load occurring on the background of the persistent high level antigenic stimulation found in chronic HBV infection. The downstream effects of any IFN-α produced may be attenuated in antigen-activated cells (23, 24) or modified by an increase in other cytokines, such as IL-1β (25) and IL-8 (26, 27).

To understand the cytokine milieu influencing NK cell activity, we quantified IFN-α and several other key pro-inflammatory and immunoregulatory cytokines in patients with chronic HBV infection. We took advantage of a cohort of well-characterized patients with eAg-CHB sampled repeatedly before, during, and after multiple hepatic flares to correlate sensitive measurements of their serum cytokine levels with changes in liver inflammation. We observed large fluctuations in serum IFN-α and IL-8 concentrations in association with the hepatic flares. Increases in circulating IFN-α and IL-8 in CHB patients with liver inflammation were accompanied by an increase in NK cell activation and surface TRAIL expression measured directly ex vivo. We then explored the mechanisms underlying these ex vivo observations, which could explain the resultant liver damage. We established that the concentrations of IFN-α and IL-8 produced in vivo promoted the TRAIL pathway of NK cell killing, acting on both the ligand and the receptors. We confirmed that, in the presence of this combination of cytokines, NK cells from patients with chronic HBV infection became capable of TRAIL-mediated killing of hepatocytes.

RESULTS

Large fluctuations in circulating levels of IFN-α and IL-8 during flares of liver disease in chronic HBV infection

Patients with eAg-CHB are susceptible to spontaneous flares of liver inflammation associated with rapid changes in viral load. These flares provide an opportunity to investigate mechanisms of HBV-related liver damage during periods of dynamic fluctuation that are predictable enough to be captured upon longitudinal sampling. A cohort of 14 eAg-CHB patients that had previously been identified as likely to undergo recurrent hepatic flares (2) were recruited and studied longitudinally. Through frequent sampling, serum was obtained before, during, and after one or multiple flares, defined in this study as an abrupt increase in serum alanine transaminase (ALT) to more than double the baseline value and more than three times the upper limit of normal ($<$35 IU/L for women, $<$50 IU/L for men). Serum ALT was used as a surrogate marker for liver damage because studies in chimpanzees (28) and humans (29) infected with HBV have shown that it accurately predicts histological findings of hepatic

One of the largest constituents of the lymphocytic infiltrate in HBV transgenic mice is NK cells (NK1.1$^+$CD3$^-$), with a 10–12-fold increase in their numbers in the inflammatory infiltrate compared with baseline (4, 5). NK cells (CD3$^+$CD56$^+$) are likewise a major component of the cellular infiltrate in the human liver, comprising 30–40% of total intrahepatic lymphocytes (7). An early rise in circulating NK cells has been documented in the incubation phase of HBV infection, suggesting that they may contribute to the initial viral containment in this setting (8). The antiviral and pathogenic potential of NK cells in patients with chronic HBV infection remain to be addressed.

The mechanism through which NK cells mediate antiviral cytotoxicity appears to be organ dependent (9). NK cytotoxicity through perforin/granzyme is now considered to be of less relevance in the liver environment, where the target hepatocytes are relatively resistant to lysis through this pathway (9, 10). Receptor-mediated cell death through ligand–receptor pairs belonging to the TNF superfamily is likely to play a more important role in liver damage (11, 12). One such pathway is mediated through TNF-related apoptosis-inducing ligand (TRAIL; reference 13) expressed on infiltrating lymphocytes interacting with TRAIL death–inducing receptors (TRAIL–R1 and TRAIL–R2; reference 14) on hepatocytes. This has been shown to be a critical mechanism of liver damage in vivo in Listeria and concanavalin A–induced hepatitis in mice (15). An essential role for NK cells in hepatic TRAIL-mediated apoptosis was highlighted in the setting of the surveillance of tumor metastases (16). Normal human hepatocytes have also been shown to be sensitive to TRAIL–mediated apoptosis (17, 18), and it has been suggested that susceptibility to this pathway may be increased during viral hepatitis (19, 20). We therefore hypothesized that NK-expressed TRAIL may play a role in non-antigen–specific mediation of liver damage in chronic HBV infection.
inflammation. All patients included had a well-characterized disease course with clinical monitoring for at least 1 yr and between 4 and 10 serial samples available for the study (see Table II), usually taken at intervals of 1–2 mo. Using a cytometric bead array (CBA) inflammation kit and ELISA technology, it was possible to quantitate multiple cytokines simultaneously from a small volume of serum. The cytokines analyzed were IL-8, IL-1β, IL-6, IL-10, TNF, IL-12p70, and IFN-α. Of the seven cytokines examined, only IL-8 and IFN-α were consistently detected, with peak concentrations far in excess of those observed for the other five cytokines in patients and significantly higher than in healthy controls (Table I and Fig. S1, which is available at http://www.jem.org/cgi/content/full/jem.20061287/DC1). The serum levels of these two cytokines were observed to undergo large fluctuations, which were recurrent in the cases with multiple flares (Fig. 1a), with patients consistently displaying substantial fold changes throughout the flaring events assayed (Table II). These uniform, large fluctuations were not observed with the other cytokines measured (Fig. 1a).

The patients in this cohort had a marked degree of liver inflammation (indicated as maximum ALT, Table II) and high viral load (see maximum viral load, Table II) at the height of the flare. Changes in serum IFN-α and IL-8 levels showed a temporal association with fluctuations in ALT and HBV-DNA (Fig. 1b). For the majority of patients (10/14), the peak serum level of IL-8 preceded the onset of the flare of liver inflammation (the sample just before the ALT peak)

![Figure 1](http://www.jem.org/cgi/content/full/jem.20061287/DC1)

**Figure 1.** IL-8 and IFN-α concentrations are elevated in the serum of CHB patients with liver inflammation. (a) Circulating concentrations of multiple cytokines detected in longitudinal serum samples taken from a representative patient (patient 1) assayed by CBA (IL-8, IL-1β, IL-6, IL-10, TNF, and IL-12p70) and sandwich ELISA (IFN-α). The concentrations of inflammatory cytokines were determined by CBA software or Prism. (b) Temporal relationship between serum IL-8 and IFN-α concentrations and liver inflammation (ALT) and viral load (HBV-DNA) in 4 representative patients of 14 patients assayed. Cross-sectional comparison of IL-8 (c) and IFN-α (d) levels quantitated by sandwich ELISA in healthy donors, HBV patients with low ALT (ALT < 60 IU/L for the last year), and HBV patients with raised ALT (ALT > 60 IU/L at time of sampling). Significance testing was performed using the Mann-Whitney U test.
either simultaneous to or immediately after a sharp increase in viral load (Fig. 1 b and Table II). Maximal serum concentrations of IFN-α occurred concurrently with the peak of liver inflammation (Fig. 1 b and Table II; median interval between IFN-α peak and ALT peak, 0) at a time when IL-8 levels were declining but still highly elevated compared with healthy controls (Fig. 1, a and b).

To establish whether elevated levels of IL-8 and IFN-α were restricted to HBV patients with active disease or could also be found in the absence of liver inflammation, we conducted a large cross-sectional study. Serum IFN-α and IL-8 concentrations were compared in controls without HBV infection, patients with chronic HBV infection with no evidence of liver inflammation (ALT < 60 IU/L at the time of sampling and no ALT > 60 IU/L recorded in the preceding year), and patients with HBV infection with liver inflammation (ALT > 60 IU/L at the time of sampling). HBV patients with liver inflammation had significantly raised levels of both IL-8 (Fig. 1 c) and IFN-α (Fig. 1 d) compared with the control groups. In contrast, healthy donors consistently had low or undetectable levels of these two cytokines, and patients with chronic HBV without evidence of liver inflammation had no significant increases in IL-8 and IFN-α compared with healthy donors (Fig. 1, c and d). Further analysis of these data revealed a similar correlation of raised IL-8 levels and a high HBV viral load (not depicted), supporting the original observation that fluctuations in IL-8 concentrations mirrored those of HBV-DNA (Fig. 1 b).

### Table I. IL-8 and IFN-α levels are highly elevated in sera from eAg-CHB patients with flares

<table>
<thead>
<tr>
<th>CHB patients</th>
<th>Healthy controls</th>
<th>Median of peak cytokine concentration (pg/mL)</th>
<th>p-values</th>
</tr>
</thead>
<tbody>
<tr>
<td>IL-8</td>
<td>630</td>
<td>13</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>IL-1β</td>
<td>79</td>
<td>blq</td>
<td>0.07</td>
</tr>
<tr>
<td>IL-6</td>
<td>11</td>
<td>blq</td>
<td>0.08</td>
</tr>
<tr>
<td>IL-10</td>
<td>9</td>
<td>1.7</td>
<td>0.04</td>
</tr>
<tr>
<td>TNF</td>
<td>4</td>
<td>blq</td>
<td>0.64</td>
</tr>
<tr>
<td>IL-12p70</td>
<td>17</td>
<td>2.6</td>
<td>0.1</td>
</tr>
<tr>
<td>IFN-α</td>
<td>253</td>
<td>20</td>
<td>0.005</td>
</tr>
</tbody>
</table>

The median value is that of the maximum cytokine concentration obtained from 12 CHB patients undergoing flares of liver inflammation and 14 healthy control donors. Significance testing was performed using the Mann-Whitney U test, with those of statistical significance highlighted in bold. blq, below level of quantification.

### Table II. IL-8 and IFN-α serum concentrations correlate temporally with hepatic flares

<table>
<thead>
<tr>
<th>No. of samples</th>
<th>Max viral load (10^6 copies/mL)</th>
<th>Max ALT (IU/L)</th>
<th>IL-8 max fold changea</th>
<th>Sampling interval between ALT peak and IL-8 peakb</th>
<th>IFN-α max fold change</th>
<th>Sampling interval between ALT peak and IFN-α peak</th>
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<tr>
<td>Patient 1</td>
<td>7</td>
<td>975</td>
<td>546</td>
<td>136</td>
<td>152</td>
<td>−3</td>
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<tr>
<td>Patient 2</td>
<td>10</td>
<td>31.4</td>
<td>285</td>
<td>686</td>
<td>7</td>
<td>0</td>
</tr>
<tr>
<td>Patient 3</td>
<td>7</td>
<td>141</td>
<td>569</td>
<td>237</td>
<td>−2</td>
<td>6.8</td>
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<tr>
<td>Patient 4</td>
<td>8</td>
<td>417</td>
<td>208</td>
<td>417</td>
<td>−2</td>
<td>12</td>
</tr>
<tr>
<td>Patient 5</td>
<td>8</td>
<td>18.4</td>
<td>495</td>
<td>40</td>
<td>2</td>
<td>7.2</td>
</tr>
<tr>
<td>Patient 6</td>
<td>7</td>
<td>9.67</td>
<td>313</td>
<td>8.2</td>
<td>−1</td>
<td>7</td>
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<td>Patient 7</td>
<td>7</td>
<td>1,510</td>
<td>214</td>
<td>29</td>
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<td>20</td>
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<tr>
<td>Patient 8</td>
<td>5</td>
<td>63.8</td>
<td>201</td>
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<td>565</td>
<td>11</td>
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<td>Patient 12</td>
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<tr>
<td>Patient 13</td>
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<td>19</td>
<td>158</td>
<td>32</td>
<td>0</td>
<td>8.2</td>
</tr>
<tr>
<td>Patient 14</td>
<td>4</td>
<td>800</td>
<td>196</td>
<td>70</td>
<td>−1</td>
<td>9.5</td>
</tr>
<tr>
<td>Median</td>
<td>36</td>
<td></td>
<td></td>
<td>−1</td>
<td>7</td>
<td>0</td>
</tr>
</tbody>
</table>

The fold change of IL-8 or IFN-α from baseline levels to the peak of the cytokine fluctuation.

A negative value implies the cytokine peak occurs before the height of the flare, and a zero means it coincided with the flare. na, data not available.
In a subset of five patients from our longitudinal cohort of eAg-CHB patients for whom serial PBMCs were available before, during, and after flares, we were able to make a temporal analysis of NK cell activation and TRAIL expression. As illustrated for two representative patients in Fig. 2 b, surface TRAIL expression on NK cells showed large variations ex vivo concurrent with hepatic flares (Fig. 2 b, top). The NK cell expression of CD69, a marker of activation, also correlated tightly with the hepatic flare, with peak activation coinciding with maximal ALT (Fig. 2 b, top) and with elevated levels of IL-8 and IFN-α (Table II).

The majority of TRAIL was noted to be on the CD56bright subset of NK cells (see representative sample in Fig. 2 a) rather than the larger CD56dim subset responsible for perforin-mediated cytotoxicity (33). The increase in overall NK cell TRAIL expression during flares was noted to be due to an increase in the percent of the CD56bright subset within the NK cells and an increase in the proportion of these CD56bright NK cells expressing TRAIL (Fig. 2 b, bottom).

We then compared the level of NK cell surface TRAIL expression in our larger cross-sectional cohort of healthy donors and HBV patients with or without liver inflammation. The percentage of NK cells expressing TRAIL on their surface directly ex vivo was increased more than fourfold in patients with liver inflammation compared with HBV patients with normal ALT (P < 0.001) or healthy donors (P < 0.0001; Fig. 2 c). Increased TRAIL expression in patients with liver inflammation compared with patients with no inflammation was also observed within the CD56bright subset (P < 0.0005; not depicted).

Of note, levels of TRAIL expressed on CD3+ T cells remained low upon longitudinal and cross-sectional analysis of HBV patients, regardless of the degree of liver inflammation (not depicted). In addition, levels of T cell proliferation to HBV core and surface antigens showed no increase around the time of the flare in the three patients in whom this parameter was examined longitudinally (Fig. S2, available at http://www.jem.org/cgi/content/full/jem.20061287/DC1).

These data, showing an in vivo up-regulation of activated NK cells expressing TRAIL, suggest a role for NK cells using this pathway in the pathogenesis of HBV-induced liver disease.

Intrahepatic NK cells express high levels of TRAIL and are highly activated

Next, we investigated whether the NK cell TRAIL pathway could operate in the liver, the site of active HBV replication. It is already well established that NK cells are enriched in healthy livers compared with the periphery (7). To identify if NK cell numbers are likewise increased in the livers of CHB patients, intrahepatic mononuclear cells were isolated from HBV-infected livers (three with cirrhosis and two with a flare), and the proportions of CD3− CD56+ NK cells and the percent of those CD56high NK cells that were TRAIL+ were plotted against ALT. (c) Cross-sectional analysis of ex vivo surface TRAIL expression on CD3− CD56+ NK cells from healthy donors, HBV patients with low ALT (ALT < 60 IU/L for the last year), and HBV patients with raised ALT (ALT > 60 IU/L at time of sampling). Significance testing was performed using the Mann-Whitney U test.
When the activation status of these intrahepatic NK cells was assessed, a greater proportion of intrahepatic NK cells had up-regulated CD69 than peripheral NK cells from the same patient (Fig. 3 b). Of note, the most highly activated NK cell subset in the liver was the CD56bright subset (Fig. 3 c), a subset that was also preferentially enriched in the liver (not depicted and reference 32).

A recent study by Ishiyama et al. (32) showed that TRAIL was not detectable on NK cells extracted from healthy livers at the time of living donor transplantation. In contrast, we found that intrahepatic NK cells isolated from these HBV-infected livers expressed TRAIL directly ex vivo at even higher levels than seen in the periphery of the same patients (Fig. 3 d). As in the periphery, TRAIL was predominantly expressed on the preferentially activated CD56bright subset, and intrahepatic CD3+ T cells expressed little TRAIL (Fig. 3 e).

To further examine the relationship between intrahepatic NK TRAIL levels and liver inflammation, we compared five biopsies taken around the time of an ALT flare in patients with histologically proven eAg-CHB, with two biopsies from HBV patients with normal ALT and histology confirming inactive disease. TRAIL+ lymphocytes (presumed to be NK cells because these are the only population expressing significant TRAIL in the periphery or liver) were identified in four out of the five eAg-CHB sections by immunohistochemistry (Fig. 3 f). In contrast, sections from the two patients with inactive HBV infection resembled reports of healthy livers (32), with no TRAIL-expressing lymphocytes identifiable. The results suggest that intrahepatic NK cell TRAIL expression correlates with HBV-related liver inflammation, as noted in the periphery in Fig. 2.

NK cells from patients with chronic HBV infection can be activated and induced to express TRAIL by cytokine concentrations found during liver inflammation

We next sought to explore possible mechanistic links between our ex vivo findings of increases in IFN-α, IL-8, and NK-expressed TRAIL in patients with raised ALT. These two cytokines found in high concentrations during HBV-related inflammation could contribute to liver damage by immunomodulatory effects on NK cells and the TRAIL pathway. IFN-α is a modulator of NK cell function, but it was unclear how this would be affected by IL-8, an inhibitor of its antiviral efficacy (26, 27). Furthermore, it was possible that NK cells could become resistant to IFN-α–mediated modulation after the recurrent stimulation likely in these patients with longstanding HBV-related inflammation. To investigate this, PBMCs or purified NK cells from healthy volunteers and patients with chronic HBV were incubated in vitro for 24 h with IFN-α or IL-8 alone or in combination at concentrations observed during hepatic flares. PBMCs or purified NK cells showed a substantial increase in the percentage of NK cells expressing TRAIL upon incubation with IFN-α (Fig. 4 a). IL-8 did not have a direct effect or inhibit the ability of IFN-α to up-regulate TRAIL expression. Rather than becoming resistant to the effects of IFN-α, NK cells from chronically infected HBV patients, including patients undergoing flares, up-regulated TRAIL by a similar amount to NK cells from healthy donors (Fig. 4 a). HBV patients with liver inflammation therefore achieved a higher...
total NK cell TRAIL level after in vitro IFN-α treatment as a result of their higher starting expression ex vivo.

NK cells taken from patients with chronic HBV infection also maintained the capacity to be activated by IFN-α, with equivalent levels of CD69 up-regulation to that seen in NK cells from healthy donors and again no inhibition of this effect by IL-8 (Fig. 4 b). IFN-α induced equivalent levels of activation of highly purified NK cells, indicating a direct effect of this cytokine (not depicted). Thus, the in vivo observations of up-regulation of NK cell TRAIL and CD69 expression were mirrored in vitro using equivalent concentrations of cytokines to those circulating in CHB patients with liver inflammation.

Cytokine-modulated TRAIL receptor expression on hepatocytes in HBV infection

In order for TRAIL to induce receptor-mediated cell death, it needs to engage with a death domain receptor on the target cell (14). Previous studies have suggested minimal protein expression of TRAIL death-inducing receptors in healthy livers (19, 35). However, mRNA for the death-inducing receptors TRAIL-R1 and TRAIL-R2 has been isolated from human hepatocytes (14, 17), which become susceptible to TRAIL-induced apoptosis upon culture (17), suggesting a potential for up-regulation. To ascertain whether hepatocytes in HBV-infected livers express a death-inducing receptor that could engage with the NK-expressed TRAIL, paraffin-embedded liver sections from HBV-infected and control livers were stained for TRAIL-R1 and TRAIL-R2. No TRAIL-R1 was detected in the HBV-infected or control livers (not depicted). However, TRAIL-R2 was expressed by hepatocytes in 10 of the 13 HBV-infected liver sections stained (from patients with eAg-CHB, with histology showing mild to moderate inflammatory infiltrates or cirrhosis). Immunostaining for TRAIL-R2 was predominantly localized to the surface of hepatocytes (Fig. 5 a) and ranged from strong in three patients, moderate in two, and weak in six, with no clear correlation with stage of liver disease. However, TRAIL-R2 was absent in sections from two control HBV patients with normal ALT and inactive disease. TRAIL-R2 staining was detected in a control donor with hepatic steatosis but not in the other control liver sections examined, three from healthy donors and four from patients with alcoholic hepatitis (Fig. 5 a).

Figure 4. Concentrations of IFN-α observed in patient sera induce increased surface TRAIL expression and activation of NK cells isolated from CHB patients. PBMCs from healthy donors (white bars) and CHB patients (black bars) were incubated for 24 h in vitro with 1,000 U/ml IFN-α, 5 ng/ml IL-8, or IFN-α and IL-8. The effect of this incubation on TRAIL expression (a) and NK cell activation (b) was assessed by flow cytometry analysis with NK cells identified as CD3−CD56+. Graphs were plotted by subtracting baseline levels of CD69 or TRAIL observed in the untreated controls from those observed after cytokine treatment.

Figure 5. TRAIL receptor expression on hepatocytes in HBV infection. (a) Paraffin-embedded sections from HBV-infected (left) and healthy control (right) livers were stained with an anti-TRAIL-R2 mAb. Membrane-localized (arrows) and cytoplasmic (*) TRAIL-R2 expression is indicated by the brown chromogen reactivity. Bars: top, 40 μm; bottom, 16 μm. (b) Mean fluorescence intensity of HepG2 TRAIL-R2 levels after IL-8 incubation (10 ng/ml for 24 h) compared with untreated and isotype controls. (c) Mean fluorescence intensity of HepG2 TRAIL-R4 levels after 1,000 U/ml IFN-α for 24 h compared with untreated and isotype controls. These are representative of five separate experiments.
We hypothesized that IFN-α or IL-8 might partially mediate this altered TRAIL-R expression pattern observed in HBV-infected inflamed livers to favor hepatocyte death. To investigate this, HepG2 hepatocytes were incubated with IL-8 or IFN-α at equivalent concentrations to those circulating in patients, and the levels of expression of the death-inducing (TRAIL-R1 and TRAIL-R2) and inhibitory (TRAIL-R3 and TRAIL-R4) TRAIL receptors were determined by flow cytometry. IL-8 was consistently found to induce an approximate doubling in the expression of TRAIL-R2 (Fig. 5 b), the death domain receptor observed to be up-regulated on hepatocytes of CHB patients (Fig. 5 a). Incubation with IL-8 did not alter surface expression of any of the other TRAIL receptors (not depicted). IFN-α had no effect on the expression of TRAIL-R2 (not depicted) but reproducibly and substantially decreased expression of the decoy/regulatory receptor TRAIL-R4 (Fig. 5 c). TRAIL-R4 has recently been shown to form a ligand-independent association with TRAIL-R2 to inhibit apoptosis induction (36). Collectively, these results suggest that the high concentrations of IL-8 and IFN-α can act in combination to both increase a death-inducing receptor and reduce an inhibitory receptor, thus optimally predisposing hepatocytes to TRAIL-mediated cell death.

Cytokines circulating during HBV flares can render NK cells capable of killing hepatocytes through TRAIL ligand–receptor interactions

To test whether NK cells isolated from HBV patients could kill hepatocytes using TRAIL, we used an assay that can directly measure the degree of receptor-mediated cell death via the caspase cascade pathway used by TRAIL. PBMCs from patients were incubated with or without IFN-α overnight to induce maximal TRAIL expression on the NK cells. At the same time, HepG2 hepatoma cells were preincubated with or without IL-8 overnight. Activated PBMCs were then added to the HepG2 cells, and the degree of HepG2 cell caspase activation was assessed by flow cytometry. When the HepG2 cells or PBMCs were not treated with cytokines, there was little caspase activation when compared with the background HepG2 cell levels (not depicted). Pretreatment of the HepG2 cells with IL-8 increased the amount of PBMC-mediated caspase activation, which was further increased when the PBMCs were preincubated with IFN-α (Fig. 6 a, top).

To confirm that this IFN-α–induced caspase activation was TRAIL mediated, the HepG2 cells were preincubated with a blocking antibody to TRAIL. As can be seen in the bottom of Fig. 6 a, when TRAIL was blocked there was a direct ex vivo from a healthy donor, CHB patient with low ALT, and CHB patient with high ALT. (c) Summary level of HepG2 caspase induction using PBMCs directly ex vivo from HBV patients with liver injury (ALT high patients, n = 6) compared with PBMCs from controls (HBV patients without raised ALT, n = 3; healthy controls, n = 3; P = 0.03, Mann-Whitney U test).
reduction in IFN-α–induced caspase activation compared with the non-antibody–treated cells (varying from 50 to 100% blocking). This suggests that TRAIL plays a major role in the IFN-α–induced caspase activation but may not be the only mechanism involved. The IFN-α–induced increase in TRAIL-mediated death was maintained using purified NK cells and abrogated in NK cell–depleted fractions (not depicted).

PBMCs from HBV patients without liver inflammation or from healthy controls showed less efficient initiation of the caspase cascade after up-regulation of NK cell TRAIL with in vitro IFN-α treatment (Fig. 6 b). There was twice as much caspase induction using IFN-α–activated PBMCs from flaring patients (Fig. 6, a and b) as from healthy donors (Fig. 6 b). PBMCs taken from patients with HBV-related liver inflammation were also able to induce apoptosis when added to HepG2 directly ex vivo, partially blocked in all cases (mean of 40% blocking) upon the addition of a TRAIL-blocking mAb (Fig. 6 c). Patients with HBV liver inflammation (n = 6), showed a mean of 28% caspase induction over background, which was significantly greater than that seen using PBMCs from HBV patients with normal ALT or healthy controls (n = 6; Fig. 6, d and e).

These experiments confirm that, under the influence of cytokines induced during HBV flares, NK cells become capable of inducing death of HepG2 hepatoma cells through the TRAIL pathway.

NK cells from HBV patients with flares can initiate TRAIL–induced apoptosis of primary human hepatocytes

The HepG2 hepatoma cell line provided a convenient model to dissect the mechanisms of activation of this pathway, but it was important to confirm that primary human hepatocytes would also be susceptible to NK TRAIL–mediated apoptosis. Hepatocytes were isolated by perfusion of a nondiseased liver explant and cultured for 48 h, with IFN-α and IL-8 added for the last 24 h to modulate TRAIL receptor expression. Viability of hepatocytes without the addition of PBMCs was good (>80% in all wells; Fig. 7 a). In contrast, hepatocytes incubated with PBMCs from an HBV patient with a flare who had TRAIL–expressing NK cells directly ex vivo showed hepatocyte apoptosis induction (Fig. 7 b). IFN-α–treated PBMCs taken from patients expressing NK cell TRAIL during an episode of liver inflammation were more efficient at induction of apoptosis of primary human hepatocytes than PBMCs from HBV patients without a flare or healthy donors (P = 0.02, Mann-Whitney U test; Fig. 7 c). In three out of four high ALT patients, >30% of the apoptosis induced by IFN-α–treated PBMCs could be blocked through TRAIL (mean of 28% blocking for the four patients). Induction of apoptosis by PBMCs cultured without IFN-α for 24 h was less reliably elicited (showing a mean 15% increase over background levels in patients with liver disease [P = 0.04] and a nonsignificant trend to increased levels in this group compared with controls; Fig. 7 c). A larger study using PBMCs directly ex vivo will be required to confirm differences between patient groups. However, we can conclude that PBMCs from HBV patients with liver inflammation whose NK cells express TRAIL are capable of mediating death of primary human hepatocytes.

DISCUSSION

The protracted, unpredictable natural history of the development of liver disease in chronic HBV infection makes it difficult to sample the immune correlates of liver damage longitudinally. Recurrent hepatic flares occurring on a background of chronic HBV overcome this problem by allowing capture of a compressed version of immunopathogenetic events associated with rapid changes in liver disease and viral load. Previous studies have examined the flares associated with eAg seroconversion and those found in patients undergoing therapy, demonstrating increases in serum IL-12 (37) and CD4 T cell reactivity (37–39). In this study, we
focused initially on the distinct type of flare seen in patients with late reactivation of their disease, so called eAg-CHB. These patients usually have mutations in their basal core promoter region or stop codon resulting in loss of eAg expression in the face of high viral load and are at particularly high risk of progression to fibrosis and cirrhosis (1, 2). By repeatedly sampling a cohort of patients with eAg-CHB, we were able to identify raised and highly fluctuating levels of IL-8 and IFN-α during flares. The proportion of NK cells activated to express CD69 and the apoptosis-inducing TRAIL ligand directly ex vivo also fluctuated in parallel with the hepatic flares. A larger cross-sectional study extended the finding of elevated levels of serum IL-8, IFN-α, and NK cell TRAIL to patients with HBV infection with active liver inflammation as opposed to healthy HBV carriers or controls. TRAIL-expressing NK cells were further enriched and activated in the liver of HBV patients, contrasting with the lack of intrahepatic TRAIL expression ex vivo in healthy controls (32). Investigation of the possible mechanistic links between the induction of these cytokines and of the NK cell TRAIL pathway revealed that IL-8 is capable of up-regulating a death-inducing receptor for TRAIL, increased expression of which was observed in CHB livers. IFN-α, at concentrations circulating during flares, could promote cell death through the TRAIL pathway both by inducing ligand expression on NK cells and by reducing inhibition by a regulatory receptor on hepatocytes. Together, they render NK cells capable of killing hepatocytes through TRAIL.

NK cells are highly enriched in the liver of both healthy donors and HBV patients, comprising the dominant intrahepatic lymphocyte population, yet their role in HBV-related liver damage has not been well defined. Here, we present data supporting an important contribution of NK cells to HBV-related liver damage, showing activation of NK cells in parallel with flares of liver inflammation and enrichment of activated NK cells in the HBV-infected liver. The CD56dim subset expresses the majority of NK cell perforin and granzyme, but hepatocytes are relatively resistant to these classical cytolytic effector molecules (9, 10). The CD56bright subset of NK cells, noted to be selectively enriched in the periphery during flares and preferentially activated in the liver, is known for its immunoregulatory capacity, being a potent source of cytokines such as IFN-γ (33). In this study, we have concentrated on the potential of these CD56bright NK cells to mediate liver damage through an alternative cytotoxic pathway, using TRAIL to induce receptor-mediated hepatocyte death. TRAIL has been shown to be endogenously expressed by a subset of NK cells found in murine livers (16), but this is not the case in humans, where both peripheral and intrahepatic NK cells show minimal surface TRAIL expression in healthy individuals (30–32). However, human NK cells have been reported to be capable of up-regulating TRAIL expression upon stimulation in vitro with IL-2 (31, 32) or IFN-α (22); we demonstrate that NK cells retain the capacity to up-regulate TRAIL both in vitro and in vivo, despite the years of recurrent inflammation seen in these patients with chronic HBV infection. The fact that NK cell TRAIL is only elevated in those HBV patients manifesting liver inflammation (in both longitudinal and cross-sectional studies) supports a role for this ligand in hepatocyte damage.

The TRAIL pathway was originally proposed to be restricted to transformed cells, and NK-expressed TRAIL protects against tumors in the intrahepatic environment (16). However, recent human studies have highlighted a pathogenic role for this pathway outside the context of tumors, with lymphocytes mediating TRAIL-induced apoptosis of atherosclerotic plaques in acute coronary syndrome (40) and of CD4 T cells in HIV infection (41). Studies in mouse models of liver disease have reinforced the notion of NK-expressed TRAIL inducing damage of nonmalignant tissues in vivo, showing TRAIL-dependent death of hepatocytes (15) and hepatic stellate cells (42). The susceptibility of human hepatocytes to TRAIL-induced apoptosis has been an area of controversy after initial reports of lack of liver toxicity in mice and primates treated with soluble TRAIL (43, 44). However, membrane-bound TNF-related ligands have greater proapoptotic potential and liver toxicity than their soluble counterparts (35, 45). Human membrane-bound TRAIL does induce hepatocyte apoptosis in mice, resulting in widespread apoptosis, necrosis, and lymphocytic infiltration (35), compatible with the pathology of chronic HBV hepatitis. Furthermore, normal human hepatocytes have the potential to express death-inducing receptors for TRAIL and are susceptible to TRAIL-induced apoptosis in vitro (17, 18). The ratio of expression of death-inducing versus regulatory receptors has been shown to provide a means for fine-tuning the susceptibility to TRAIL-induced death (36). There is already a suggestion that this balance may be tipped in favor of death in situations of liver inflammation, such as bile acid retention (46) and viral hepatitis. Evidence for the latter comes from immunostaining of hepatitis C virus–infected livers (20) and Western blotting of total liver extracts from acute HBV-mediated liver failure (19). We show by immunostaining that expression of a death-inducing TRAIL receptor is up-regulated on hepatocytes of patients with CHB. One mechanism of modulation may be by the virus itself, based on the in vitro observations that the HBV-encoded X antigen up-regulates one of the death-inducing receptors (47) and predisposes to TRAIL-induced apoptosis through modulation of intracellular Bax (48). Here, we demonstrate an additional mechanism, whereby cytokines produced during an HBV flare may act in concert to both increase death-inducing and reduce regulatory TRAIL receptors to maximize hepatocyte apoptosis. Our data have implications for the use of soluble TRAIL in the therapy of malignancies, such as hepatocellular carcinoma. They suggest that tumor patients with coincident HBV infection and episodes of active liver inflammation might be more susceptible to hepatic toxicity from such a therapeutic approach.

The chemokine ligand–receptor pairs directing the migration of this large influx of NK cells in to the HBV-infected liver still need to be dissected and are currently under
investigation. IL-8 is well known for its chemotactic function, and the high concentrations circulating during flares are likely to derive from the liver (unpublished data). In the patients studied here, IL-8 levels typically increased with the increase in HBV DNA, in keeping with the reported ability of HBV to transactivate the IL-8 gene (49). NK cells have been shown to express the high affinity IL-8 receptor CXCR1 and to migrate in response to IL-8 (50). IFNs have also been shown to regulate the trafficking of NK cells to the liver by induction of chemokines such as IFN-γ inducible protein 10 in HBV transgenic mice (4) and macrophage inflammatory protein 1α in murine CMV infection (51). We have not shown where the IFN-α surges identified in this study derive from, but likely sources are virally infected hepatocytes in addition to liver-infiltrating leukocytes, including plasmacytoid dendritic cells. We therefore speculate that IL-8 and IFN-α, in addition to activating a pathway of NK-mediated hepatocyte damage, may contribute to the chemotaxis of NK cells to the HBV liver during episodes of active inflammation.

In the transgenic mouse model of HBV infection, NK cells have potent antiviral efficacy, an effect that is attenuated in mice lacking the type I IFN receptor (52). It is likely that IFN-α–activated NK cells have a dual role in viral control and liver damage in human HBV infection too. TRAIL-induced apoptosis of HBV-infected hepatocytes by NK cells would eliminate some virally infected cells, a process that could contribute to the partial reduction in viral load often observed after a flare. However, any viral reduction by this means would always be at the expense of liver damage and would therefore be a hazardous strategy to promote therapeutically. In fact, the use of exogenous IFN-α in the treatment of HBV–associated cirrhosis is often limited by its tendency to cause a hepatic flare, which can be severe enough to precipitate hepatic decompensation. It will be important to investigate whether such flares on treatment have a similar pathogenesis to the naturally occurring flares investigated here; this would open up the potential to block IL-8 or the TRAIL pathway to limit the toxicity of IFN-α therapy. The recent finding that liver disease induced by the injection of activated lymphocytes into the HBV transgenic mouse can be abrogated with a TRAIL blocking antibody (48) lends support to this therapeutic strategy.

In summary, we demonstrate that patients with chronic HBV infection retain the capacity to activate the type I IFN system in the setting of dynamic changes in viral load and have fluctuating levels of IL-8 that may modulate the role of IFN-α in liver damage and viral control. We describe a novel mechanism of non-antigen–specific liver damage activated by these two cytokines in HBV infection and highlight the critical role of the large NK infiltrate in this process. CD3+ T cells express minimal levels of TRAIL in both the periphery and liver of these patients, despite the reported ability of IFN-α to up-regulate TRAIL on human T cells (53). However, it is likely that non-antigen–specific T cells contribute to liver damage through other pathways, such as Fas/Fas ligand (11, 12). The fact that NK–mediated killing of hepatocytes was not completely blocked by TRAIL antibodies suggests that NK cells may also use additional ligands to mediate liver damage. It remains to be seen whether the NK cell TRAIL pathway is also switched on during the large flare of liver inflammation seen during eAg seroconversion and in acute HBV infection. A further question not addressed by this study is what triggers, rather than mediates, these flares. The primary event appears to be an increase in HBV DNA, suggesting that there may be an escape from virus-specific CD8–mediated viral control. Our data support the idea that future immunotherapeutic strategies should aim to promote noncytolytic antiviral effects, such as those mediated by virus-specific CD8, while blocking the type of non-antigen–specific mechanism of liver damage described here.

**MATERIALS AND METHODS**

**Patients and controls.** 72 patients with chronic HBV infection (HBsAg+) were recruited with full ethics approval and informed consent, with 11 patients being HBeAg+ and the remainder HBeAg− and anti-HBeAb+ (measured by commercial enzyme immunoassay kits; Murex Diagnostics). HBV-DNA viral load was quantified by the Roche Amplicor Monitor Assay (Roche Laboratories). The patients were negative for antibodies to hepatitis C virus and hepatitis delta virus, and to HIV-1 and HIV-2 (Ortho Diagnostics). None of the patients included in the study was taking antiviral therapy or immunosuppressive drugs. Sera were obtained and immediately frozen from 53 patients, PBMCs from 46 patients, and liver biopsies/explants or paraffin–embedded sections from 20 patients. A subset of 14 HBeAg− CHB patients was subjected to longitudinal analysis, with multiple serum and PBMC samples taken (Table II). Serum samples were analyzed in parallel, and PBMCs were analyzed directly ex vivo.

Control samples consisted of sera and PBMCs from 14 and 13 healthy donors, respectively, and paraffin–embedded liver sections from 4 healthy donors and 4 patients with alcoholic hepatitis.

**Antibodies and reagents.** The antibodies CD3-Cy5.5/PerCP, CD56-FITC, TRAIL-PE, CD69-APC (BD Biosciences), TRAIL-R1-PE, TRAIL-R2-PE, TRAIL-R3-PE, TRAIL-R4-PE, and CD56-PE (R&D Systems) were used for flow cytometric analyses at the manufacturers’ recommended concentrations. The anti-TRAIL antibody for neutralization of bioactivity (R&D Systems) was used at a concentration of 10 ng/ml. Recombinant human IFN-α2a (rhIFN-α; PBZ Biomedical Laboratories) and recombinant human IL-8 (rhIL-8; R&D Systems) were used at concentrations stated for each experiment.

**Determination of serum cytokine concentrations.** Serum cytokine concentrations were ascertained using the CBA Inflammation kit (BD Biosciences) according to the manufacturer’s protocols. In brief, 50 μl of patient serum or standard recombinant protein dilutions was added to a mixture of capture beads coated with mAb to a panel of cytokines (IL-8, IL-1β, IL-6, IL-10, TNF, and IL-12p70) and a PE-conjugated detection reagent. After 3 h, the capture beads were washed and acquired on a FACSCalibur flow cytometer (BD Biosciences). Using the recombinant standards and the BD CBA Software provided, cytokine concentrations were quantified for each serum sample. Serum IFN-α was assayed using a standard sandwich ELISA kit (PBL Biomedical Laboratories), where 50 μl of patient serum was analyzed according to the manufacturer’s High Sensitivity protocol.

**Ex vivo staining of NK cells.** Freshly isolated PBMCs from HBV patients and healthy donors, or intraparenchymal lymphocytes isolated from HBV patients as described previously (3), were incubated for 30 min at 4°C with antibodies to CD3, CD56, CD69, and TRAIL. PBMCs were washed twice with PBS plus 1% FCS and fixed with 1% paraformaldehyde before acquisition on a
Caspase activation was determined using the carboxyfluorescein-FLICA kit (DakoCytomation). Sections were washed in water, counterstained in hematoxylin, dehydrated, placed into xylene, and mounted in DPX mountant.

Immunochemistry of liver samples for TRAIL and TRAIL receptors. Archival paraffin blocks from 15 CHB, 4 alcoholic liver disease cases, and 4 healthy donors were stained for the expression of TRAIL-R1 and TRAIL-R2. Serial sections from seven eAg-CHB patients were stained for expression of TRAIL. 4-μm sections were cut onto charged slides (Surgipath) and heated for 1 h at 60°C. After deparaffinizing and rehydration, sections were treated in 0.3% H2O2 in water to block endogenous peroxidase activity. Antigen retrieval was performed using the ALTER technique as described previously (54). After a brief wash in water, sections were placed onto a Sequenza (Shandon) and washed in TBS/Tween, pH 7.6. mAbs to TRAIL-R1 (1:100 dilution; R&D Systems) or TRAIL-R2 (1:50 dilution; R&D Systems) were applied for 40 min at room temperature. Sections were washed in TBS/Tween, and antibody was detected using Dako Chemate Envision horseradish peroxidase kit (DakoCytoimmation). Sections were washed in water, counterstained in hematoxylin, and mounted.

Cytokine-induced NK cell activation and up-regulation of TRAIL expression. PBMCs were resuspended in supplemented RPMI 10% FCS, plated into a round-bottom 96-well tissue culture plate at 3 × 10⁵ cells/well, and incubated with 1,000 U/ml rhIFN-α, 5 ng/ml rhIL-8, or IFN-α and IL-8 for 24 h at 37°C. The degree of cytokine-induced NK activation and up-regulated TRAIL expression was determined by subtracting baseline CD69 or TRAIL expression from that observed after cytokine treatment.

Cytokine-induced changes in TRAIL expression on the HepG2 hepatoma cell line. HepG2 hepatoma cells were trypsinized from a 75-cm² flask and plated into a 48-well flat-bottom tissue culture plate at 2 × 10⁵ cells/well. The cells were allowed to adhere for 5 h before the addition of 10 ng/ml rhIL-8 or 1,000 U/ml rhIFN-α and incubated for 24 h at 37°C. The cells were washed twice with PBS and incubated on ice for 45 min with 5 μM EDTA. This gentle detachment from the plate prevented the loss of surface TRAIL-R expression. The cells were then washed twice with PBS plus 1% FCS to remove the EDTA before incubation for 30 min at 4°C with mAbs to the four membrane-bound TRAIL receptors and acquisition on a FACS Calibur flow cytometer.

NK-expressed TRAIL-mediated apoptosis of HepG2 cell line. HepG2 cells were trypsinized from a 75-cm² flask, plated into a 48-well flat-bottom tissue culture plate at 10⁵ cells/well, and allowed to adhere. Adhered cells were incubated with and without 10 ng/ml IL-8 or 1,000 U/ml IFN-α at 37°C for 24 h. PBMCs (or purified NK cells or NK-depleted PBMCs) from chronic HBV patients were also incubated with and without 1,000 U/ml IFN-α at 37°C for 24 h. After this incubation a TRAIL-blocking antibody was added to the relevant well for 1 h before the addition of PBMCs to HepG2 wells at a ratio of 10:1 (PBMC/HepG2). After 4 h, the degree of caspase activation was determined using the carboxyfluorescein-FLICA apoptosis detection kit (Serotec) using the manufacturer’s protocol for detection by flow cytometry.

NK-expressed TRAIL-mediated apoptosis of primary human hepatocytes. Primary human hepatocytes were isolated from noncirrhotic liver explant tissue using collagenase perfusion (55), resuspended in Williams E medium containing hydrocortisone, insulin, and glutamine, plated into a 48-well flat-bottom culture plate at 10⁵ cells/well, and allowed to adhere for 2 h. Medium was replaced, and cells rested for 24 h before stimulation for 24 h at 37°C with 10 ng/ml IL-8 and 1,000 U/ml IFN-α. PBMCs from CHB or healthy donors were incubated with or without 1,000 U/ml IFN-α at 37°C for 24 h. After this time, 10 ng/ml of a TRAIL blocking antibody was added to the relevant well for 2 h before PBMCs were added to hepatocytes at a ratio of 10:1 (PBMC/hepatocyte) and incubated for a further 18 h at 37°C before fixing with methanol. The degree of apoptosis was determined in situ DNA end labeling (ISEL) for the detection of DNA fragment formation (55). In brief, the fixed cells were incubated with ISEL mixture (TBS, pH 7.6, plus 5 mM MgCl₂, 10 mM 2-mercaptoethanol, 5 ng/ml bovine serum albumin, 20 units Klenow DNA polymerase [Boehringer], 0.01 M of deoxynucleotides dATP, dCTP, and dGTP [Invitrogen], and digoxigenin-labeled dUTP [Roche Laboratories]) for 1 h at 37°C. The sections were then washed with distilled water and incubated with sheep anti-digoxigenin alkaline phosphatase–conjugated Fab fragment (1:200 dilution; Roche Laboratories) for 1 h at room temperature. After further washing in TBS, pH 7.6, sections were incubated with alkaline phosphatase substrate for 15 min, counterstained with Mayer’s hematoxylin, and rinsed in methanol at 4°C.

Induction of apoptosis was quantified by an independent observer blinded to the study design, who counted at least 200 hepatocytes in each well.

Online supplemental material. Fig. S1 shows the individual values and means for serum concentrations of cytokines assayed by CBA (IL-8, IL-1β, IL-6, IL-10, TNF, and IL-12/p70) and sandwich ELISA (IFN-α). Fig. S2 shows the results of proliferative assays performed at multiple longitudinal time points in three CHB patients with flares after 5 d of stimulation with HBcAg and HbsAg. Figs. S1 and S2 are available at http://www.jem.org/cgi/content/full/jem.20061287/DC1.

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