

## The Complete Nucleotide Sequence of the Human Immunoglobulin Heavy Chain Variable Region Locus

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### Summary

The complete nucleotide sequence of the 957-kb DNA of the human immunoglobulin heavy chain variable ( $V_H$ ) region locus was determined and 43 novel  $V_H$  segments were identified. The region contains 123  $V_H$  segments classifiable into seven different families, of which 79 are pseudogenes. Of the 44  $V_H$  segments with an open reading frame, 39 are expressed as heavy chain proteins and 1 as mRNA, while the remaining 4 are not found in immunoglobulin cDNAs. Combinatorial diversity of  $V_H$  region was calculated to be  $\sim 6,000$ . Conservation of the promoter and recombination signal sequences was observed to be higher in functional  $V_H$  segments than in pseudogenes. Phylogenetic analysis of 114  $V_H$  segments clearly showed clustering of the  $V_H$  segments of each family. However, an independent branch in the tree contained a single  $V_H$ , V4-44.1P, sharing similar levels of homology to human  $V_H$  families and to those of other vertebrates. Comparison between different copies of homologous units that appear repeatedly across the locus clearly demonstrates that dynamic DNA reorganization of the locus took place at least eight times between 133 and 10 million years ago. One nonimmunoglobulin gene of unknown function was identified in the intergenic region.

Key words: immunoglobulin gene • physical map • antibody repertoire • phylogenetic tree • DNA duplication

During the vertebrate immune response, Ig and TCR play central roles in antigen recognition. The  $NH_2$ -terminal portion of their subunits is called the V region because of its diverse amino acid sequence required for interaction with a diverse spectrum of antigens. Generation of the primary V-region repertoire depends on the common genetic basis and molecular mechanisms characteristic of these antigen-receptor molecules (1–3). First, the V regions are encoded by two or three genetic segments, namely V, D, and J segments, each of which comprises multiple copies and provides the repertoire before somatic mutation. Second, during the ontogeny of lymphocytes, each one of these segments is chosen to undergo a somatic recombination event called V-(D)-J recombination, giving rise to the combinatorial and junctional amino acid diversity. Upon encounter with antigens, further diversification and refinement of the Ig repertoire is accomplished by a process known as affinity maturation, which includes somatic hypermutation, receptor editing, somatic gene conversion, and clonal selection (1, 2). In contrast, the V-region diver-

sity of TCR is fixed through the selection process in the thymus and maintained without any modification (3). Although these molecules are likely to be derived from a common ancestral receptor molecule, much more complex molecular mechanisms are used for the refinement of the V-region repertoire of Ig than TCR after maturation of lymphocytes.

The Ig molecule is encoded by three independent gene loci, namely  $Ig\kappa$  and  $Ig\lambda$  genes for the L chain and  $IgH$  genes for the H chain, which are located on chromosome 2 (4, 5), chromosome 22 (5, 6), and chromosome 14 (7), respectively. Each of these loci spans a large DNA region of from one to a few megabases (Mb)<sup>1</sup> (8–12). Although antibody function is determined by the complementation of L and H chains, accumulating evidence suggests that the major contribution to the generation of the diversity and specificity of Ig is from the H-chain molecule. Existence of an

<sup>1</sup>Abbreviations used in this paper: Mb, megabase; ORF, open reading frame; RSS, recombination signal sequences; YAC, yeast artificial chromosome.

additional set of gene segments, namely D segments, and their involvement in V-D-J recombination increases enormously the sequence variability of the  $V_H$  region. Receptor editing by rearrangement of the silent allele has not been reported for the H-chain locus (13, 14), possibly indicating a critical role of the H chain for the antigen specificity of the Ig molecule.

It is, therefore, important to have the complete structure of the human  $V_H$  locus in order to understand the origin and behavior of the human immune repertoire. In addition, such studies will be useful in designing humanized antibodies. One of the best examples is the establishment and analysis of the xenomouse, which has deletions of the endogenous  $J_H$  and  $J_K$  loci but carries human  $V_H$  and  $V_K$  segments as transgenes to produce known human antibodies (15). Knowledge of the number and organization of germline  $V_H$  and  $V_K$  segments is essential to test the correlation between the germline repertoire and B lymphocyte repertoire formation *in vivo*.

Comparison of nucleotide sequences of the 5'-regulatory region of  $V_H$  segments may tell us how human  $V_H$  segments are transcriptionally regulated. Because the recombination signal sequences (RSS) flanking the germline gene segments play a key role in V-D-J rearrangement, it is interesting to test the correlation between the usage of individual  $V_H$  segments and the sequence variation within the RSS. Existence of a novel  $V_H$  family may provide additional V-region diversity. Isolation of polymorphic markers along the locus will greatly facilitate IgH haplotyping and subsequent systematic genetic analyses to examine the possible association between polymorphisms of the  $V_H$  locus and susceptibility to immune disorders. It is also feasible to search for somatic gene conversions that have not yet been demonstrated in humans, the most critical test of which would be the extensive sequence comparison between germline and rearranged  $V_H$  segments.

From an evolutionary point of view, nucleotide sequence comparison between different parts of the locus will enable us to trace evolution of this multigene family by DNA reorganization. It would also be very interesting to clarify the origin and nature of the translocated  $V_H$  loci on chromosomes 15 and 16 (16, 17). The existence of many  $V_H$  pseudogenes suggests frequent gene conversions during the evolution of the  $V_H$  locus (18). Moreover, comparative analysis of the structure and organization of the human  $V_H$  locus with those of other species or with other multigene loci ( $V_K$ ,  $V_\lambda$ , and TCR) will provide clues for further understanding the molecular mechanisms that govern the evolution of multigene families. Finally, the  $V_H$  locus that lies adjacent to the 14q telomere may provide a suitable candidate for the study of the structure of a human telomere.

To address the above questions, earlier studies on the organization of the human  $V_H$  locus have resulted in the completion of the physical map of the entire locus by isolation of yeast artificial chromosome (YAC) clones (8, 9). Here, we report the determination of the complete nucleotide sequence of the 957-kb DNA encompassing the human  $V_H$  locus consisting of 123  $V_H$  segments. This permitted the clas-

sification of the  $V_H$  segments according to their structure and utilization into 39 functional, 1 transcribed, 4 open reading frame (ORF), and 79 pseudogenes. Both frequent DNA reorganization after mammalian divergence and high levels of repetitive elements were revealed. We also identified a putative ancestral  $V_H$  segment that is distantly related to  $V_H$  segments of other vertebrates as well as to those of humans. A single exon-encoded nonimmunoglobulin gene of unknown function was mapped in the  $J_H$  proximal part.

## Materials and Methods

*Isolation of the Distal Part of the  $V_H$  Locus.* The  $J_H$ -distal region contains one member each of the  $V_H2$  and  $V_H5$  families, which can serve as markers of missing DNA because they contain relatively few numbers (8). Probes and primers specific to these two families were used for the initial screening of cosmid (19) and ICRF YAC libraries (20) by the method as described (21). A contig of 125-kb DNA consisting of four cosmids (M146, U22-1, U22, and M83) and one YAC clone (13.3) which does not overlap with the  $J_H$ -proximal 0.8-Mb region was obtained. The remaining gaps between Y24/Y6 and YAC 13.3 was filled with the P1 clones A1 and H10, obtained by screening a human bacteriophage P1 library (22) with the primers corresponding to the 5' terminus of Y24 and the coding sequence of the V2-70 segment. The probe representative for the human telomere repeat was synthesized as described previously (23) and used for hybridization.

*Nucleotide Sequencing of the  $V_H$  Locus.* Two different methods were used to determine the nucleotide sequence. 637-kb regions whose plasmid subclones were available were sequenced by a primer walking method. The remaining DNAs were sequenced by a combination of shotgun and primer walking methods as follows; (a) insert DNA (average size  $\sim$ 3-4 kb) for the shotgun libraries was obtained from cosmid and P1 clones either by partial digestion with *Sau3AI* or by mechanical shearing and subsequent fractionation by agarose gel electrophoresis; (b) plasmid DNA of 96 shotgun clones from each cosmid or P1 clone was used for the first round sequencing analysis by using vector primers of both ends. The 192 sequences obtained were assembled to generate contigs by Sequencher software (Gene Codes Corporation, Inc., Ann Arbor, MI). (c) The remaining gaps between contigs were then filled by primer walking using the plasmid DNA of the shotgun clones that bridge different contigs as a template. Accuracy of the nucleotide sequence was estimated to be 99.98% by comparison of the two sequences of 23-kb DNA between the V6-1 segment and D gene cluster from two independent cosmid clones.

*Identification of Nonimmunoglobulin and Repetitive Sequences.* Eight nonimmunoglobulin genes were identified by BLASTN and analyzed in detail using GENETYX-MAC version 9.0 (Software Development Co. Ltd., Tokyo, Japan). Content and distribution of genome-wide repetitive sequences were extensively searched by CENSOR (24) at the Genetic Information Research Institute (Palo Alto, CA) as well as by dot matrix analysis.

*Molecular Evolutionary Analysis.* Optimal alignment of nucleotide sequences was obtained by visual inspections maximizing the sequence homology between any pair of the  $V_H$  segments. Intron sequences were not included for the analysis. The evolutionary distance  $k_a$  was calculated by the simple Poisson model correction as  $k_a = -\ln[1 - (4/3)K_a]$  (25), where  $K_a$  represents the nonsynonymous substitution per site between sequences compared. The usage of  $K_s$  (synonymous substitution per site) for the alignment is not appropriate because  $K_s$  is saturated in many

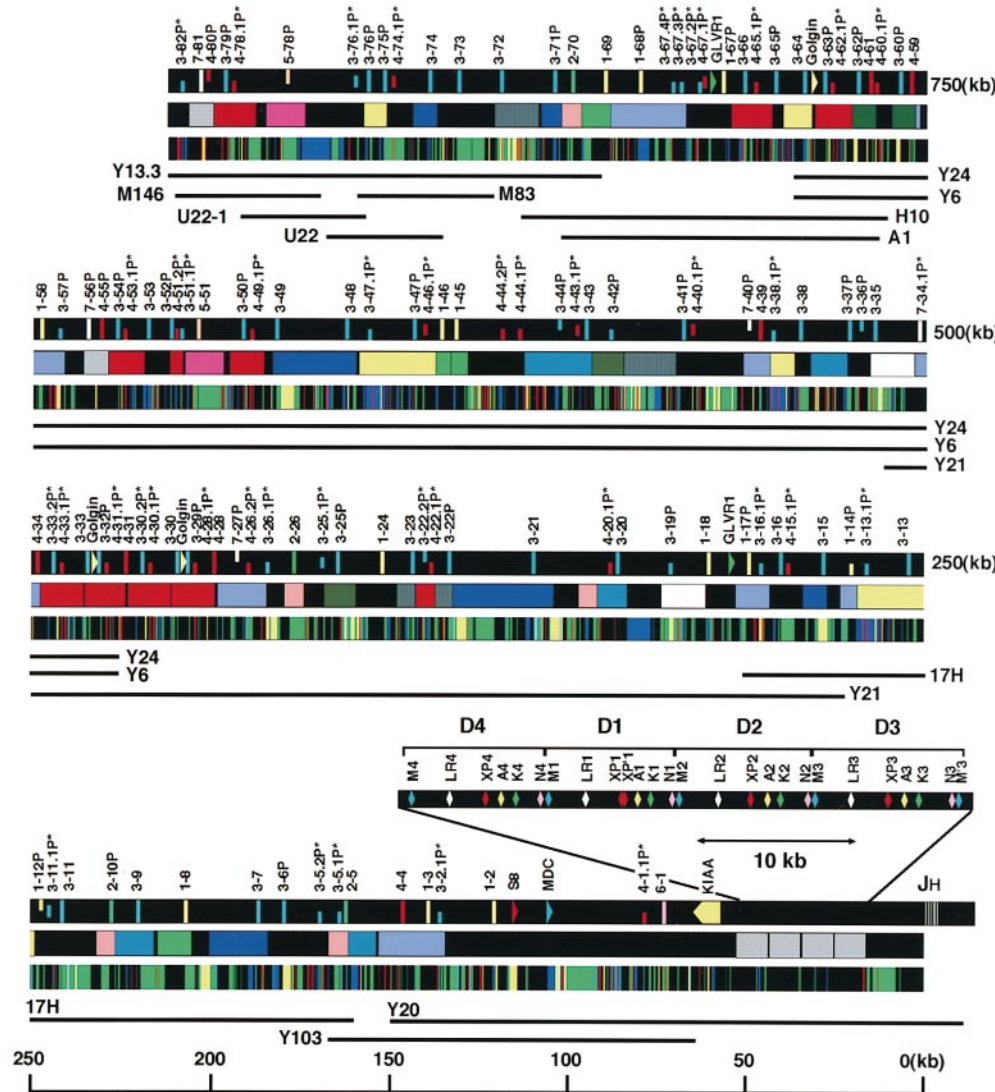
pairs of the  $V_H$  segments compared. The evolutionary tree was inferred by the neighbor-joining method (26).

To estimate the divergence time between  $V_{H3}/V_{H4}$  units, optimal alignment of spacer sequences was obtained by the methods as described (27, 28) together with visual inspections, and the tree was constructed by the neighbor-joining method (26). The divergence time of duplicated copies ( $T$ ) was estimated by the equation  $T = k/2v$ , where  $k = -\ln[1 - (4/3)K]$ .  $K$  represents the simple nucleotide difference between sequences compared. The evolutionary rate  $v$  was calculated as  $1.4 \times 10^{-9}$  per site per year by comparison of spacer DNA sequences among primate  $\beta$ -globin gene clusters (human, orangutan, Old World monkey, and New World monkey) (data not shown).

## Results and Discussion

**Complete Mapping of the Human  $V_H$  Locus.** Previously, we isolated and analyzed the  $J_H$ -distal 0.8-Mb region of the

human  $V_H$  locus. 64  $V_H$  segments ( $V6-1$  to  $V3-64$ ) have been completely sequenced and were categorized into 33 structurally functional and 31 pseudo  $V_H$  segments (8). In this study, we further extended the region by screening and characterization of human YAC, P1, and cosmid clones (Fig. 1). The newly isolated region encompasses the 170-kb DNA upstream of the  $V3-64$  segment and its  $J_H$ -distal end hybridized with a human telomere repeat probe. Physical mapping and Southern blot analysis identified 16  $V_H$  segments and additional 9 DNA fragments that weakly hybridize with human  $V_H$  probes within the 170-kb region (Fig. 1). Subsequent comparison of the physical map with that of  $\gamma$ IgH6 (9) revealed that the content and organization of  $V_H$  segments are almost identical except that  $\gamma$ IgH6 carries additional 7-kb DNA at the telomeric end. Since the  $\gamma$ IgH6 clone was isolated by the activity of the human telomere in yeast (9), it may extend to the 14q terminus.



**Figure 1.** Organization of the human immunoglobulin  $V_H$  locus. The 957-kb DNA is represented by the four collections of thick horizontal lines with the 3' end at the bottom right corner.  $V_H$  segments belonging to different  $V_H$  families are indicated by vertical lines of different colors with their names on the upper row. Pseudogenes and newly identified  $V_H$  segments are indicated with a P and an asterisk at the end of the name, respectively. Full height vertical lines represent  $V_H$  segments without truncation while those containing truncation at the 5', 3', and both 5'- and 3'-portions are indicated by half-height upper, lower, and middle lines, respectively. An enlarged physical map of the 39-kb DNA of the human D gene cluster is also shown. Locations of D segments of six families are shown by diamonds of different colors with their names. Eight nonimmunoglobulin genes are shown with their names by short arrows of different colors indicating the transcriptional orientation. 13 locus-specific homology units are indicated by boxes of different colors in the middle row. Different classes of sequences are shown in the lower row: (a) Alu (red), MIR (magenta); (b) LINE1 (green), LINE2 (dark green); (c) retrotransposons (yellow), retroviral and other LTRs (blue); (d) DNA transposons (black); (e) medium reiteration frequency repetitive sequences (purple); and (f) simple repeats (cyan). DNA clones covering the locus are shown at the

bottom. The YAC clone Y13.3, cosmid clones M146, U22-1, U22, and M83, as well as P1 clones H10 and A1 were newly isolated in this study whereas the others have been described previously (8). The nucleotide sequence was deposited in DDBJ/GenBank/EMBL database under the accession number AB019437-AB019441.

*Complete Nucleotide Sequence of the Human V<sub>H</sub> Locus.* The complete sequence of 957,090 bp between the J<sub>H</sub>1 segment and the telomeric part of chromosome 14q was determined. The region contains a total of 123 V<sub>H</sub> segments of 7 different families (Fig. 1 and Table 1). The V<sub>H</sub> segments are localized in the 883-kb DNA between 73 and 956 kb upstream of the J<sub>H</sub> cluster. The 5'-most V<sub>H</sub> segment, V3-82P, is located only 1,480 bp downstream of the 5' terminus of YAC 13.3. Highly interspersed organization of the V<sub>H</sub> segments belonging to seven different families was confirmed (19). The lengths of intergenic regions are quite variable; the average distance between neighboring V<sub>H</sub> segments is ~6.8 kb, with the longest being 41.4 kb (V1-2/V4-1.1P) and the shortest 418 bp (V3-67.2P/V4-67.1P). However, a clustered distribution of V<sub>H</sub> segments is not evident, unlike the human Igλ locus where five Vλ clusters are physically separated by long spacer DNA (11, 12). The transcriptional polarities of the 123 V<sub>H</sub> segments are the same as that of the J<sub>H</sub> segments, unlike the human Igκ locus in which distal 36 Vκ segments are in an inverted transcriptional orientation because of the gross inversion of 440-kb DNA (10).

In the J<sub>H</sub>-distal 170-kb region, the existence of 17 V<sub>H</sub> segments (V3-65P to V7-81) was suggested by earlier physical mapping studies (9). We identified 16 of them at the positions proposed (9) and classified them into 7 structurally functional V<sub>H</sub> segments and 9 pseudogenes. However, we failed to identify the V<sub>H</sub> sequence corresponding to the V7-77 segment even though the physical maps of the corresponding portions are exactly identical.

Many DNA fragments of YACs and cosmid clones in the V<sub>H</sub> locus weakly hybridized with V<sub>H</sub> probes (although such hybridization was not detectable by Southern blotting of human genomic DNA), suggesting the presence of additional V<sub>H</sub>-related sequences including possible novel human V<sub>H</sub> families. Indeed, the mouse Q52 family does not have human counterparts that show >66% nucleotide sequence homology (18). We identified 43 such V<sub>H</sub>-related sequences in the total locus and classified them into 19 V<sub>H</sub>3, 22 V<sub>H</sub>4, and 1 V<sub>H</sub>7 segments according to the ho-

mology to known 7 V<sub>H</sub> families, making the total number of the human V<sub>H</sub> segments to be 123 (Tables 1 and 2). Unfortunately, all of these 43 newly identified V<sub>H</sub> segments have defects (Table 2) and thus are categorized as pseudogenes, excluding the possibility of novel V<sub>H</sub> families in humans. Of note, only three V<sub>H</sub> segments (V3-30.2P, V3-33.2P, and V7-34.1P) have the basic V<sub>H</sub> structure while the other 40 V<sub>H</sub> segments contain the truncation.

The D region gene cluster consists of 26 D segments within the 39-kb DNA between 53 and 14 kb upstream of the J<sub>H</sub> segments (Fig. 1). Because all of the 26 D segments belong to some of the known six families, we named each D segment based on the family and localized duplication unit, thus following the nomenclature of earlier studies (29, 30). The organization and numbering of D segments are in accordance with that proposed in earlier studies (29, 30) and confirmed by nucleotide sequencing analysis (31) in that the D cluster consists of four copies of a 9-kb element containing a set of six D family segments in the order 5'-D<sub>M</sub>-D<sub>(LR)</sub>-D<sub>XP</sub>-D<sub>A</sub>-D<sub>K</sub>-D<sub>N</sub>-3'. An extensive analysis of D segment usage in V<sub>H</sub> cDNAs successfully classified the 27 D segments, including the unique D<sub>Q52</sub> segment that is located in the J<sub>H</sub> gene cluster (32), into 25 functional and 2 pseudogenes (31).

*The Total Number of the Functional Human V<sub>H</sub> Segments.* The definition of a functional V<sub>H</sub> segment is important and essential for determining their number in the human V<sub>H</sub> locus because there is some discrepancy regarding the classification of V<sub>H</sub> segments into functional or pseudogene segments, a discrepancy which is in part attributable to the incomplete nucleotide sequences of some V<sub>H</sub> segments (8, 9, 33). However, given the complete sequences of all the V<sub>H</sub> segments, we can propose the following criteria for the functional V<sub>H</sub> segment. The functional V<sub>H</sub> segment should have an intact exon-intron structure, a complete ORF, and no fatal defects in RSS. In addition, expression of the V<sub>H</sub> segment should be confirmed by identification of the given V<sub>H</sub> sequence in data bases of full-length V<sub>H</sub> cDNA. Identification of a partial cDNA sequence is not sufficient because a part of the V3-47P sequence is found in the V<sub>H</sub> cDNA database even though V3-47P must be a pseudogene because of a point mutation at the initiation codon (ATG to AGG) (18). Transcription of a rearranged TCR Vβ segment which carries a defect in splicing signal sequence has also been demonstrated (34). Needless to say, the best proof for the functional V<sub>H</sub> segment is to identify its sequence in the IgH protein database, although some V<sub>H</sub> segments might be difficult to identify because of hypermutation.

Therefore, we looked for the full-length V<sub>H</sub> cDNAs and proteins that correspond to the 40 structurally functional V<sub>H</sub> segments mentioned above. 37 of them fulfill the requirement for the functional V<sub>H</sub> segment since they are utilized for H-chain polypeptides (Tables 1 and 2). The V4-28 segment shares >97% homology with partial V<sub>H</sub> cDNA sequences in the database. Although it appears to be transcribed, its translation product remains to be identified. Hence, it is classified as the second group, transcribed. The

**Table 1.** Summary of the Human V<sub>H</sub> Segments

| Classification | V <sub>H</sub> family |   |    |    |   |   |   | Total |
|----------------|-----------------------|---|----|----|---|---|---|-------|
|                | 1                     | 2 | 3  | 4  | 5 | 6 | 7 |       |
| Functional     | 9                     | 3 | 19 | 6  | 1 | 1 | 0 | 39    |
| Transcribed    | 0                     | 0 | 0  | 1  | 0 | 0 | 0 | 1     |
| ORF            | 0                     | 0 | 3  | 0  | 0 | 0 | 1 | 4     |
| Pseudogene     |                       |   |    |    |   |   |   |       |
| Point mutation | 3                     | 1 | 21 | 2  | 0 | 0 | 2 | 29    |
| Truncation     | 2                     | 0 | 22 | 23 | 1 | 0 | 2 | 50    |
| Total          | 14                    | 4 | 65 | 32 | 2 | 1 | 5 | 123   |

**Table 2.** Summary of the Human  $V_H$  Segments

| Name                              | bp from $J_H1$ | 5' regulatory region |      |          |      |         |      |     | RSS   |         |      | Defects in the pseudogenes |                             |
|-----------------------------------|----------------|----------------------|------|----------|------|---------|------|-----|-------|---------|------|----------------------------|-----------------------------|
|                                   |                | Heptamer             | (bp) | Octamer  | (bp) | TATA    | (bp) | ATG | gt/ag | 7mer    | (bp) |                            | 9mer                        |
| Functional                        |                |                      |      |          |      |         |      |     |       |         |      |                            |                             |
| 1-2                               | 121362         | CTCATGA              | 2    | ATGCAAAT | 19   | TAAATAC | 82   | +   | +     | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-3                               | 139937         | CTCATGA              | 2    | ATGCAAAT | 8    | TGACTAT | 77   | +   | +     | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-8                               | 207771         | CTCATGA              | 2    | ATGCAAAT | 19   | TAAATAT | 81   | +   | +     | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-18                              | 310253         | TTCATGA              | 2    | ATGCAAAT | 12   | TATAGAT | 76   | +   | +     | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-24                              | 401835         | CTCATGA              | 2    | ATGCAAAT | 19   | TAAATAC | 80   | +   | gc/ag | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-45                              | 631622         | CTCATCA              | 2    | ATGCAAAT | 19   | TAAATAT | 81   | +   | +     | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-46                              | 635740         | CTCATGA              | 2    | ATGCAAAT | 19   | TAAATAT | 81   | +   | +     | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-58                              | 747064         | CTCATGA              | 2    | ATGCAAAT | 19   | TAAATAT | 81   | +   | gc/ag | CACAGTG | 23   | TCAGAAACC                  |                             |
| 1-69                              | 838623         | CTCATGC              | 2    | ATGCAAAT | 19   | TAAATAT | 81   | +   | +     | CACAGTG | 23   | TCAGAAACC                  |                             |
| 2-5                               | 162833         | —                    | —    | ATGCAAAT | 26   | TTGAAAA | 42   | +   | +     | CACAAAG | 23   | ACAAAAACC                  |                             |
| 2-26                              | 426348         | —                    | —    | ATGCAAAT | 26   | TTCAAAA | 41   | +   | +     | CACAGAG | 23   | ACAAGAACC                  |                             |
| 2-70                              | 847518         | —                    | —    | ATGCAAAT | 26   | TTCAAAA | 41   | +   | +     | CACAGAG | 23   | ACAAGAACC                  |                             |
| 3-7                               | 187109         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-9                               | 220985         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | ACAAAAACC                  |                             |
| 3-11                              | 241936         | —                    | —    | ATGCAAAT | 18   | ATAAAAA | 101  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-13                              | 254843         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-15                              | 279028         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-20                              | 336289         | —                    | —    | ATGCAGGT | 17   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-21                              | 360380         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-23                              | 393910         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-30                              | 459712         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-33                              | 484429         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-43                              | 594900         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | ACAAAAACC                  |                             |
| 3-48                              | 662523         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-49                              | 681653         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-53                              | 717376         | —                    | —    | ATCCAAAT | 18   | ATGAAAA | 98   | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-64                              | 782450         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | GCAGAAACC                  |                             |
| 3-66                              | 799737         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-72                              | 867647         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGCG | 23   | ACACAAACC                  |                             |
| 3-73                              | 879647         | —                    | —    | ATGCAAAT | 19   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 3-74                              | 887385         | —                    | —    | ATGCAAAT | 18   | AAGAAAA | 90   | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 4-4                               | 146795         | —                    | —    | ATGCAAAT | 39   | TTAAATT | 59   | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 4-31                              | 473900         | —                    | —    | ATGCAAAT | 38   | TTAAATT | 59   | +   | +     | CACAATG | 23   | ACACAAACC                  |                             |
| 4-34                              | 498280         | —                    | —    | ATGCAAAT | 39   | TTAAATT | 59   | +   | +     | CACAGTG | 23   | ACAAAAACC                  |                             |
| 4-39                              | 546311         | —                    | —    | ATGCAAAT | 39   | TTAAATT | 58   | +   | +     | CACAGTG | 23   | ACAAAAACC                  |                             |
| 4-59                              | 751941         | —                    | —    | ATGCAAAT | 39   | TTAAATT | 59   | +   | +     | CACAGTG | 23   | ACAAAAACC                  |                             |
| 4-61                              | 763817         | —                    | —    | ATGCAAAT | 39   | TTAAATT | 59   | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| 5-51                              | 703418         | —                    | —    | ATGCAAAT | 18   | ACTTAAA | 79   | +   | +     | CACAGTG | 23   | CTAAAACCC                  |                             |
| 6-1                               | 74312          | —                    | —    | AGGCAAAT | 19   | TTTAAAT | 78   | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| Transcribed                       |                |                      |      |          |      |         |      |     |       |         |      |                            |                             |
| 4-28                              | 449201         | —                    | —    | ATGCAAAT | 38   | TTAAATT | 59   | +   | +     | CACAGTG | 23   | ACACAAACC                  |                             |
| ORF                               |                |                      |      |          |      |         |      |     |       |         |      |                            |                             |
| 3-16                              | 290601         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 94   | +   | +     | TCCTGTG | 23   | ACACAAACC                  |                             |
| 3-35                              | 514030         | —                    | —    | ATGCAAAT | 18   | ATAAAAA | 95   | +   | +     | CACTGAG | 23   | ACACAAACC                  |                             |
| 3-38                              | 535112         | —                    | —    | —        | —    | —       | —    | +   | +     | TACACAG | 23   | ACACAAACC                  | 5'-T(13 bp upstream of -19) |
| 7-81                              | 951482         | TTCATGA              | 2    | ATGCAAAT | 8    | GGAATAT | 79   | +   | +     | CACCATG | 23   | TCAGAAATC                  |                             |
| Pseudogene with point mutation(s) |                |                      |      |          |      |         |      |     |       |         |      |                            |                             |
| 1-17P                             | 299723         | CTCATGA              | 2    | ATGCAAAT | 19   | TAAATTT | 79   | +   | +     | CACAGTG | 23   | TCAGAAACC                  | 1 bp-I(46)                  |
| 1-67P                             | 805315         | CTCATGA              | 2    | ACGCAAAT | 16   | TACAGAT | 77   | +   | +     | CACAGTG | 23   | TCAGTAACC                  | S(36), 4 bp-I(31)           |
| 1-68P                             | 828559         | CTCATGA              | 2    | ATGTAAAT | 18   | TAAATAT | 76   | +   | gt/gg | CACGGTG | 23   | TCAGGAACC                  | S(15), 1 bp-D(-13)          |
| 2-10P                             | 228236         | —                    | —    | ATGCAAAT | 26   | TTGAAAA | 44   | +   | +     | CACAGAG | 23   | ACAAGAACC                  | S(36,53)                    |
| 3-6P                              | 180487         | —                    | —    | ACGCAAAT | 18   | ATGAAAA | 98   | +   | +     | TACGGTA | 23   | ACACAAACC                  | 1 bp-D(16)                  |
| 3-22P                             | 383077         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG | 23   | ACACAAACC                  | S(59)                       |
| 3-25P                             | 414329         | —                    | —    | ATGGAAAT | 18   | ATAAAAA | 100  | +   | +     | CACAGTG | 23   | ACACAAACC                  | S(49,91)                    |
| 3-29P                             | 456071         | —                    | —    | —        | 14   | TTGAAAA | 101  | +   | +     | CCAGTG  | 23   | ACAAAAAT                   | S(-13,33,47,94)             |
| 3-30.2P                           | 469013         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CCAGGTA | 23   | ACACAGATT                  | S(-2, -1,33,47,61,94)       |
| 3-32P                             | 480784         | —                    | —    | ATGAAAAC | 18   | GTGAAAT | 101  | +   | +     | CCAAGTG | 23   | ACACAACAT                  | S(-13,33,47,94)             |
| 3-33.2P                           | 493733         | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CCAGGTA | 23   | ACACAGTTT                  | S(-2, -1,33,47,94)          |

(continued)

**Table 2.** (continued)

| Name                          | bp from J <sub>H</sub> 1 | 5' regulatory region |      |          |      |         |      | RSS |       |                       | Defects in the pseudogenes |            |  |
|-------------------------------|--------------------------|----------------------|------|----------|------|---------|------|-----|-------|-----------------------|----------------------------|------------|--|
|                               |                          | Heptamer             | (bp) | Octamer  | (bp) | TATA    | (bp) | ATG | gt/ag | 7mer                  |                            | (bp)       | 9mer   |
| 3-37P                         | 521282                   | —                    | —    | ATGCAAAT | 21   | ATGAAAA | 101  | +   | +     | CATGGTG               | 23                         | CCAGAAACC  | S(22), 1 bp-D(16,21), 2 bp-D(63), 10 bp-D(90-93)   |
| 3-41P                         | 567750                   | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG               | 23                         | ACACAAACC  | S(47), 1 bp-D(71)                                  |
| 3-47P                         | 643217                   | —                    | —    | —        | —    | ATGAAAA | 101  | AGG | +     | CACAGTG               | 23                         | ATACAAACT  | ATG to AGG (-19), 5'-T (108 bp upstream of -19)    |
| 3-50P                         | 690799                   | —                    | —    | AAGAAAAT | 18   | ATGAAAA | 100  | ATA | gc/ag | CCAATG                | 23                         | ACACAAAAT  | S(-2,33,36,47,58,92), 1 bp-D(16), ATG to ATA (-19) |
| 3-52P                         | 711066                   | —                    | —    | ATGCAAAC | 18   | ATGAAAA | 101  | +   | +     | CACAGTG               | 23                         | ACACAAACC  | S(9)   |
| 3-54P                         | 726051                   | —                    | —    | ATGCAAAT | 18   | ATGACCA | 93   | +   | +     | CCAGGTA               | 23                         | ACACAGAAT  | S(33,47,52,52A,94)                                 |
| 3-60P                         | 755914                   | —                    | —    | AAGCAAAT | 18   | CTGAAAA | 101  | +   | +     | C <sup>u</sup> GCAGTG | 20                         | ACACAAACC  | S(66), 1 bp-I(16)                                  |
| 3-62P                         | 767844                   | —                    | —    | ATGCGAAT | 18   | ATGAAAA | 98   | +   | +     | C <sup>u</sup> GCAGTG | 20                         | ACACAAACC  | S(46)  |
| 3-63P                         | 776951                   | —                    | —    | ATGAAAAC | 18   | GTGAAAA | 99   | +   | +     | C <sup>u</sup> CAAGTG | 23                         | ACACAAAAT  | S(33,94)   |
| 3-65P                         | 790804                   | —                    | —    | ATGCAAAT | 18   | AAGAAAA | 103  | +   | at/ag | CACAGTG               | 23                         | ACACAAACC  | 1 bp-I(31)   |
| 3-71P                         | 852113                   | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | CACAGTG               | 23                         | ACACAAACC  | S(59)  |
| 3-75P                         | 900633                   | —                    | —    | ATGCAAAG | 15   | GTGAAAA | 99   | +   | gt/gg | C <sup>u</sup> GCAGTG | 23                         | ACACAAACC  | S(22,66) 1 bp-I(80), 1 bp-D(21,23)                 |
| 3-76P                         | 904794                   | —                    | —    | ATGAAAAT | 18   | ATGAAAA | 101  | +   | gc/ag | CACAGTG               | 23                         | TCACAAACC  | S(3,19,36,51), 2 bp-D(52A)                         |
| 3-79P                         | 944560                   | —                    | —    | ATGCAAAC | 18   | ATGAAAA | 101  | +   | gt/gg | CCAGGTA               | 23                         | ACACAGAAGG | S(33,47), 1 bp-D(-11), 2 bp-D(16)                  |
| 4-55P                         | 730817                   | —                    | —    | ATGCAAAT | 39   | TTAAATT | 59   | +   | +     | CACAGTG               | 23                         | ACACAAACC  | S(34)  |
| 7-34.1P                       | 501920                   | TTCATGA              | 2    | ATGCAATT | 8    | TGACTAT | 79   | +   | +     | CACAGTG               | 23                         | TCAGAAAGC  | S(-15,38,39,46)                                    |
| 7-56P                         | 734462                   | TTCATGA              | 2    | ATGCAAAT | 8    | TTAATAC | 80   | +   | +     | CACCGTG               | 23                         | TTAGAAACC  | S(27), 1 bp-D(53,65)                               |
| Pseudogene with truncation(s) |                          |                      |      |          |      |         |      |     |       |                       |                            |            |  |
| 1-14P                         | 271076                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG               | 23                         | TCAGAAATC  | 5'-T(15)   |
| 1-12P                         | 247559                   | CTTATGA              | 2    | ATGCAAAT | 19   | TAAATAT | 54   | +   | +     | —                     | —                          | —          | 3'-T(50)   |
| 3-2.1P                        | 136244                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACAGTG               | 23                         | ACACAAAGC  | 5'-T(intron)                                       |
| 3-5.1P                        | 164252                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACATGA               | 17                         | ACACAAACC  | 5'-T(65)   |
| 3-5.2P                        | 170347                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG               | 22                         | ACGCAAACCT | 5'-T(12)   |
| 3-13.1P                       | 267558                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACAGTG               | 23                         | ACACCAACC  | 5'-T(intron)                                       |
| 3-16.1P                       | 296006                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACAGGA               | 24                         | ACAGAAAAA  | 5'-T(intron)                                       |
| 3-19P                         | 321917                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACTGTG               | 23                         | ACACAAACC  | 5'-T(1)  |
| 3-26.1P                       | 434239                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACAGGG               | 24                         | ACACAAAAA  | 5'-T(intron)                                       |
| 3-38.1P                       | 542512                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACAGTG               | 23                         | ACACAAAAG  | 5'-T(intron)                                       |
| 3-42P                         | 587869                   | —                    | —    | —        | —    | —       | —    | —   | +     | CAGTGAG               | 22                         | ACACAAATC  | 5'-T(-10)  |
| 3-47.1P                       | 655704                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACGGTG               | 23                         | ACACAAACC  | 5'-T(intron)                                       |
| 3-51.1P                       | 708156                   | —                    | —    | —        | —    | —       | —    | —   | +     | CATCGTG               | 23                         | AGACAGACT  | 5'-T(-6)   |
| 3-57P                         | 743493                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACAGGA               | 24                         | ACACAAAAA  | 5'-T(intron)                                       |
| 3-67.2P                       | 811473                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACATGA               | 22                         | ACATAAACC  | 5'-T(65)   |
| 3-67.3P                       | 817161                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGCG               | 22                         | ACAGAAACC  | 5'-T(12)   |
| 3-67.4P                       | 819680                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CACAGGA               | 24                         | ACACAAAAA  | 5'-T(intron)                                       |
| 3-82P                         | 956317                   | —                    | —    | —        | —    | —       | —    | —   | -/gt  | CATAGGA               | 24                         | ACACAAAAT  | 5'-T(intron)                                       |
| 3-22.2P                       | 389722                   | —                    | —    | GTGAAAAT | 18   | ATGAAAA | 100  | ATA | gc/ag | —                     | —                          | —          | 3'-T(50)   |
| 3-36P                         | 517398                   | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 95   | +   | +     | CATTGTG               | —                          | —          | 3'-T(RSS spacer)                                   |
| 3-44P                         | 602655                   | —                    | —    | ATGCAAAT | 18   | ATGAAAA | 101  | +   | +     | —                     | —                          | —          | 3'-T(7)  |
| 3-11.1P                       | 245337                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | —                     | —                          | —          | 5'-T(intron), 3'-T(57)                             |
| 3-25.1P                       | 418667                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | —                     | —                          | —          | 5'-T(intron), 3'-T(77)                             |
| 3-76.1P                       | 908562                   | —                    | —    | —        | —    | —       | —    | —   | -/aa  | CACAGGA               | —                          | —          | 5'-T(intron), 3'-T(RSS spacer)                     |
| 4-1.1P                        | 79503                    | —                    | —    | —        | —    | —       | —    | —   | —     | GACAGAA               | 23                         | ACACAAACC  | 5'-T(33)   |
| 4-15.1P                       | 288747                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGGA               | 22                         | ACACAAACC  | 5'-T(10)   |
| 4-20.1P                       | 338221                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG               | 23                         | ACACAAACC  | 5'-T(41), Alu insertion (82B)                      |
| 4-22.1P                       | 388477                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGCG               | 24                         | ACACTCTAC  | 5'-T(10)   |
| 4-26.2P                       | 439482                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | CTCAGTG               | 23                         | ACACAAACC  | 5'-T(-4)   |
| 4-28.1P                       | 454194                   | —                    | —    | —        | —    | —       | —    | —   | —     | C <sup>u</sup> CAATG  | 23                         | ACACAAACC  | 5'-T(10)   |
| 4-30.1P                       | 467131                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG               | 23                         | ACCCAAGCC  | 5'-T(10)   |
| 4-31.1P                       | 478899                   | —                    | —    | —        | —    | —       | —    | —   | —     | C <sup>u</sup> CAATG  | 23                         | ACACAAACC  | 5'-T(10)   |
| 4-33.1P                       | 491850                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG               | 23                         | ACCCAAGCC  | 5'-T(10)   |
| 4-44.1P                       | 614033                   | —                    | —    | —        | —    | —       | —    | —   | +     | CACTGTG               | 23                         | ACACAAACC  | 5'-T(-13)  |

(continued)



**Table 2.** (continued)

| Name    | bp from J <sub>H</sub> 1 | 5' regulatory region |      |          |      |         |      | RSS |       |                 | Defects in the pseudogenes |            |                     |
|---------|--------------------------|----------------------|------|----------|------|---------|------|-----|-------|-----------------|----------------------------|------------|---------------------|
|         |                          | Heptamer             | (bp) | Octamer  | (bp) | TATA    | (bp) | ATG | gt/ag | 7mer            |                            | (bp)       | 9mer                |
| 4-44.2P | 618651                   | —                    | —    | —        | —    | —       | —    | —   | —/ag  | <u>AA</u> CAGTG | 23                         | ACATAAACCC | 5'-T(17)            |
| 4-49.1P | 688842                   | —                    | —    | —        | —    | —       | —    | —   | —     | <u>T</u> ACAGCA | 23                         | AAACAAACC  | 5'-T(10)            |
| 4-51.2P | 709216                   | —                    | —    | —        | —    | —       | —    | —   | —     | <u>AA</u> CAGAA | 22                         | ACACAAACT  | 5'-T(10)            |
| 4-53.1P | 724192                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTA         | 23                         | ACCCAAACC  | 5'-T(10)            |
| 4-60.1P | 762234                   | —                    | —    | —        | —    | —       | —    | —   | —     | <u>C</u> AGAGTG | 23                         | ACCCAAACC  | 5'-T(10)            |
| 4-62.1P | 775048                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG         | 24                         | ACCCAAACC  | 5'-T(10)            |
| 4-65.1P | 796316                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAACG         | 23                         | ATACAAACC  | 5'-T(10)            |
| 4-78.1P | 942365                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG         | 23                         | ACCCAAACC  | 5'-T(10)            |
| 4-80P   | 949650                   | —                    | —    | ATGCAAAT | 40   | TTAAATT | 60   | +   | +     | —               | —                          | —          | 3'-T(84)            |
| 4-40.1P | 565177                   | —                    | —    | —        | —    | —       | —    | —   | —     | —               | —                          | —          | 5'-T(9), 3'-T(33)   |
| 4-43.1P | 597179                   | —                    | —    | —        | —    | —       | —    | —   | —     | —               | —                          | —          | 5'-T(24), 3'-T(90)  |
| 4-46.1P | 640314                   | —                    | —    | —        | —    | —       | —    | +   | gt/ac | —               | —                          | —          | 5'-T(-13), 3'-T(48) |
| 4-67.1P | 810918                   | —                    | —    | —        | —    | —       | —    | —   | +     | —               | —                          | —          | 5'-T(-12), 3'-T(48) |
| 4-74.1P | 897900                   | —                    | —    | —        | —    | —       | —    | —   | -/ag  | —               | —                          | —          | 5'-T(-4), 3'-T(52)  |
| 5-78P   | 928017                   | —                    | —    | ATGCAAAT | 18   | ACTTAAA | 79   | +   | +     | —               | —                          | —          | 3'-T(RSS 7mer)      |
| 7-27P   | 442755                   | CTCATGA              | 2    | ATGCAAAT | 8    | TAAATAT | 80   | +   | +     | —               | —                          | —          | 3'-T(50)            |
| 7-40P   | 549722                   | —                    | —    | —        | —    | —       | —    | —   | —     | CACAGTG         | 23                         | TCAGAAACC  | 5'-T(31)            |

The V<sub>H</sub> segments are classified into four different groups according to their functionality as described in the text. In each V<sub>H</sub> segment, distance from the first nucleotide of the J<sub>H</sub>1 segment (in bp), presence (+) or absence (-) of the heptamer and octamer motifs, and the TATA box in the 5'-flanking region, initiation codon, splicing signal sequence gt/ag, heptamer and nonamer nucleotides of RSS sequence, and spacer length (in bp) are shown. Mutations at five critical nucleotides of the RSS are underlined. Defects in pseudogenes are summarized with the number of amino acid residues (38). Insertion, deletion, stop codon, and truncation are abbreviated as I, D, S, and T, respectively.

V3-35 and V7-81 segments did not correspond to any V<sub>H</sub> cDNAs. To be conservative, however, we allowed their possible usage in the V-D-J rearrangement and classified them as the third ORF group (Tables 1 and 2) (discussed below).

Previous classifications of V1-24, V1-58, V3-16, V3-38, and V5-78P were corrected in this study. The V1-24 and V1-58 segments containing a complete ORF except for the abnormal splicing signal GC/AG (18) were found in a recently published IgH protein and therefore categorized as functional. We include the V3-16 and V3-38 segments in the ORF group even though they contain highly diverged RSS heptamers (discussed below). The V5-78P segment, which completely loses RSS by replacement of an unknown sequence, was not identified in cDNAs and therefore is classified as pseudogene. Conversely, none of the 79 pseudogenes that have defects in the V<sub>H</sub> gene structure itself were found in full-length V<sub>H</sub> cDNAs. Taken together, the corrected numbers of the functional, transcribed, ORF, and pseudogenes are 39, 1, 4, and 79, respectively (Table 1).

This raises the issue of how many functional V<sub>H</sub> segments are required for the full antibody repertoire in humans. The immune response of transgenic mice carrying human Ig YAC clone (xenomice) gives some hints on this (15). The xenomouse II strains with 35 functional V<sub>H</sub> and 18 functional V<sub>κ</sub> segments develop human adultlike antibody repertoires with high levels of mature B lymphocytes and high-affinity human antibodies against diverse antigens while the xenomouse I strains bearing 5 functional V<sub>H</sub> and 3 functional V<sub>κ</sub> segments are capable of only modest immune responses. This strongly suggests the importance of the complete germline V gene repertoire in the highly diverse human antibody response.

*Analysis of the 5'-regulatory Sequences.* The 5' flanking region of V<sub>H</sub> segments plays an important role in the regulation of H-chain gene expression. Already, we have shown the striking conservation of the upstream sequences of V<sub>H</sub> segments in a family-specific manner (18). This region contains two *cis*-acting elements, namely the octamer motif, which is essential for correct transcription of Ig genes, and the TATA box required by the general transcription machinery (35). In this study, 500 bp of 5'-flanking sequences from the 79 V<sub>H</sub> segments without 5' truncation were aligned to identify and compare these two motifs, as well as unknown conserved sequences across V<sub>H</sub> families that might also act as *cis*-acting elements. We found that 40 out of the 44 functional, transcribed, or ORF V<sub>H</sub> segments contain an octamer sequence 100% identical to the consensus (ATGCAAAT) (Table 2). Slightly less conserved are ATCCAAAT in V3-53, AGGCAAAT in V6-1, and ATGCAGGT in V3-20 although these three V<sub>H</sub> segments have been shown to be translated. The V3-38 segment, an ORF group member, completely loses the octamer and TATA motifs due to 5'-truncation. Because this V<sub>H</sub> segment also contains a point mutation in a critical site of the RSS (discussed below), it might not be capable of rearrangement or transcription. Interestingly, the octamer sequence of pseudogenes appears much less conserved. In the 33 V<sub>H</sub> pseudogenes with the octamer motif in their 5'-flanking regions, as many as 15 have diverged octamer sequences (Table 2).

In contrast, the distance between the octamer sequence and the TATA consensus, as well as the sequence of the TATA box itself, are well conserved within the same V<sub>H</sub> family but vary between different V<sub>H</sub> families. Another motif, the heptamer, which is reported to be essential for

full  $V_H$  promoter activity in mouse lymphoid cells (36), is found 2 bp upstream of the octamer in the  $V_{H1}$  and  $V_{H7}$  family members only, confirming our previous observation (18) that the heptamer is not essential for the expression of H-chain genes in humans. We could not find any other conserved nucleotide motifs across the seven  $V_H$  families by nucleotide sequence alignment. However, such novel *cis*-acting elements may be identified by investigation of the correlation between promoter activity and nucleotide sequence variation of the 5'-flanking region.

**RSS and V-D-J Rearrangement.** The RSS of  $V_H$  segments is located immediately downstream of the coding region sequence and is composed of conserved heptamer (CACAGTG) and nonamer (ACAAAACC) sequences, which are separated by 23-bp spacer nucleotides. Recent *in vitro* analysis of RSS (37, 38) clearly demonstrated that the first three positions of the heptamer and the fifth and sixth positions of the nonamer are critical for efficient V-(D)-J recombination. Among the 123  $V_H$  segments identified in this study, 108 have RSS heptamer and nonamer signals (Table 2). All 40 of the functional or transcribed  $V_H$  segments maintain the first three nucleotides of the heptamer signal (CAC) intact, although five of them are slightly different from the consensus in the four 3' nucleotides (AGTG). Slightly more variation can be seen in the RSS nonamers of these 40  $V_H$  segments as follows; (a) the fifth and sixth positions are highly conserved except for two  $V_{H2}$  segments; (b) C is more frequently used than A at the fourth position; (c) the  $V_{H1}$  segments have a family-specific nonamer, TCAGAAACC capable of V-D-J rearrangement. The G nucleotide at the fifth position of V2-26 and V2-70 appears to maintain recombination efficiency as shown in the human  $V\lambda$  genes (12). In the ORF group, V3-35 and V7-81 contain mutated RSS heptamers (CACTGAG and CAC-CATG, respectively) although their first three heptamer nucleotides retain the CAC consensus. It is not clear whether this might affect the efficiency of the V-D-J recombination. In contrast, the first three positions of the heptamer signals of V3-16 (TCC) and V3-38 (TAC) have diverged even though their nonamer signals remain well conserved. This might be the reason why these two  $V_H$  segments cannot be found in functional V-D-J rearrangements. In all 44  $V_H$  segments, the spacer length is strictly maintained at 23 nucleotides (Table 2). Given the number of the human  $V_H$ , D, and  $J_H$  segments now, the combinatorial diversity of the human  $V_H$  genes can be calculated as  $40(\text{functional/transcribed } V_H \text{ segments}) \times 25(\text{functional } D \text{ segments}) \times 6(\text{ } J_H \text{ segments}) = 6,000$ . Of course, this value is only approximate, as the number of  $V_H$  and D segments shows allelic variation.

The RSS of the 64 pseudogenes appear much more diverged (Table 2). First, 26  $V_H$  pseudogenes carry mutation(s) at one or more of the five critical positions (A to G mutation at the fifth nucleotide of the nonamer is excluded). In addition, V3-36P and V3-76.1P have lost the nonamer signal due to the truncation in the 23-bp spacer. Second, the spacer length is not well conserved; the 7, 6, 2, and 1  $V_H$  segments have spacer lengths of 24, 22, 20, and

17 bp, respectively, although the usage of V segments with 22- or 24-bp spacers has been demonstrated in human  $V\lambda$  and TCR $\beta$  loci (12, 34). Surprisingly, however, as many as 28  $V_H$  pseudogenes carry the complete RSS with 23-bp spacer nucleotides, which corresponds to  $\sim 35\%$  of the pseudogenes. Assuming V-D-J recombination to be equally possible for any of the 70  $V_H$  segments and pseudogenes with authentic RSS, the probability of productive V to DJ rearrangement per allele can be calculated as  $1/3(\text{frame}) \times [40(\text{functional/transcribed } V_H \text{ segments})/70] = 0.19$  or 19%.

**Evolution of the Human  $V_H$  Locus.** To clarify the evolutionary trail of the human  $V_H$  locus, we constructed a phylogenetic tree based on the nucleotide sequence alignment of 114  $V_H$  segments that do not have large truncations within the coding region. The phylogenetic tree showed the presence of three  $V_H$  clusters corresponding to  $V_{HI}$ ,  $V_{HII}$ , and  $V_{HIII}$  subgroups (39), which are further subdivided into seven  $V_H$  families;  $V_{H1}/V_{H5}/V_{H7}$ ,  $V_{H2}/V_{H4}/V_{H6}$ , and  $V_{H3}$  families, respectively, in agreement with the previous proposal (18, 39) (Fig. 2 A). The  $V_{H6}$  segment is branched off from the cluster of  $V_{H4}$  segments in this tree. However, we confirmed that the  $V_{H6}$  family forms an independent branch when the tree is constructed based on the simple nucleotide and amino acid differences (data not shown). Therefore, we consider this fluctuation as being due to a high level of homology between the two families. The 12  $V_{H3}$  pseudogenes that have the 5' truncation at the same position in their introns (Table 2) constitute an independent cluster of the  $V_{H3}$  family. Such clustering of truncated pseudogenes can also be observed in the  $V_{H4}$  family; a group of 13  $V_{H4}$  segments containing the common 5' truncation at amino acid number 10 (Table 2) again branched off from the common ancestor. These  $V_H$  segments are scattered across the locus, suggesting the initial truncation in an ancestral  $V_H$  segment and subsequent interspersions of duplicated copies throughout the locus.

Interestingly, the V4-44.1P segment appears to be so independent from the other three subgroups that it forms a fourth subgroup (Fig. 2 A). The V4-44.1P shared weak nucleotide sequence homology to the  $V_{H4}$  (<62.9%), the  $V_{H1}$  (<59.4%), and the  $V_{H3}$  (<58.2%) segments, and amino acid homology to the human  $V_H$  segments did not exceed 40.6%. When the amino acid homology search for this  $V_H$  segment was performed against protein databases, a similar level of homology was obtained with those of a variety of vertebrates, including: mouse (38.8%), rat (30.0%), rabbit (38.6%), dog (34.4%), Caiman (36.4%), *Xenopus* (33.7%), teleost fish (36.7%), and horned shark (28.6%). The presence of this  $V_H$  pseudogene can be explained either by the possibility that the V4-44.1P segment is a putative ancestral  $V_H$  segment or that  $V_H$  segment is a very old pseudogene and the accumulation of the mutations has decreased its overall homology to the other human  $V_H$  segments. Consideration of the V4-44.1P segment as the eighth family may be less likely because interspecies homology between corresponding  $V_H$  families is usually much higher than that between different families within a single species (40, 41). However, it is premature to draw a con-

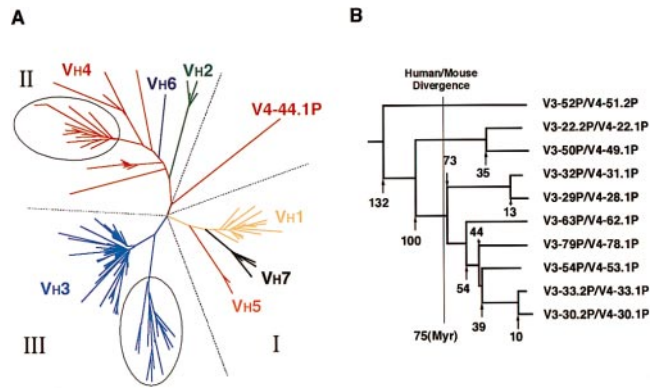


clusion based on amino acid comparisons of only a limited number of  $V_H$  segments from other species.

Dot matrix analysis of the 957-kb sequence against itself failed to find the large scale genome duplication. However, we found 13 DNA sequences of variable length (4–24 kb) that appear repeatedly across the  $V_H$  locus (Fig. 1). These homologous units constitute 67% of the entire locus and contain the DNA fragments previously shown to cross-hybridize with 14 intergenic probes by Southern blot analysis (42). Of note is the DNA sequence that appears 11 times in the region between 380 and 955 kb upstream of the  $J_H$  segments (indicated by red boxes in Fig. 1) and contains a  $V_H4$  segment with the 5' truncation flanked by a  $V_H3$  segment at its upstream end. Among them, the nucleotide sequence of the spacer DNA between the two  $V_H$  segments is highly conserved in the 10 different  $V_H3/V_H4$  units. The spacer sequences were aligned to estimate the divergence time of these  $V_H3/V_H4$  units.

As shown in Fig. 2 B, nine DNA duplication events took place between 132 and 10 million years ago. Of note, seven events occurred after the mammalian divergence 75 million years ago (43), demonstrating the recent high frequency reorganization of the human  $V_H$  locus. The 48-kb DNA ranging between the V3-33.2P and V4-28 segments consists of four copies of the  $V_H3/V_H4$  units (Fig. 1). According to the identity of the physical map between the upstream and downstream 24-kb DNA, each of which contains 2  $V_H3/V_H4$  units, the 48-kb region was considered to be generated by tandem duplication of the 24-kb DNA (8). A similar score in the divergence time was obtained between the corresponding pairs: 13 million years ago between V3-32P/V4-31.1P and V3-29P/V4-28.1P, and 10 million years ago between V3-33.2P/V4-33.1P and V3-30.2P/V4-30.1P (Fig. 2 B). This strongly suggests the initial internal DNA duplication within the copy (73 million years ago) and subsequent recent gross DNA duplication (~10–13 million years ago). Clustering of two  $V_H3/V_H4$  units is also seen in the 19-kb DNA between V3-54P and V4-51.2P. However, in this cluster the upstream V3-54P/V4-53.1P pair is nearest to the V3-33.2P/V4-33.1P and the V3-29P/V4-28.1P pairs (39 million years ago) while the V3-52P/V4-51.2P pair is most distantly related to the other nine copies (132 million years ago). This excludes the possibility of another gross duplication. The most recent duplication, which took place 10 million years ago between V3-33.2P/V4-33.1P and V3-30.2P/V4-30.1P, suggests the existence of the both pairs in gorilla and chimpanzee but not in gibbon (44, 45). A similar calculation was performed between DNA regions containing the truncated  $V_H$  segments, V3-67.3P/V3-67.2P and V3-5.2P/V3-5.1P and the divergence time was found to be 61 million years ago, again after the divergence between mouse and human (data not shown).

**Identification and Characterization of Nonimmunoglobulin Genes.** We identified eight DNA sequences in the 957 kb that are highly homologous to known DNA sequences in the databases. Three of them were mapped within the  $V_H$ -rare downstream part (Fig. 1). The 7,883-bp cDNA of



**Figure 2.** (A) A phylogenetic tree of the human  $V_H$  segments based on their nucleotide sequence alignment. Three distinct sets of the  $V_H$  segments that correspond to  $V_{HI}$ ,  $V_{HII}$ , and  $V_{HIII}$  subgroups (39) are separated by broken lines and indicated by Roman numerals. The seven  $V_H$  families are indicated by different colors. Groups of the  $V_H3$  and  $V_H4$  segments containing the 5'-truncation are circled. (B) Estimation of divergence time between 10 homologous units containing a pair of the  $V_H3$  and  $V_H4$  segments. The human/mouse divergence time (vertical line) is indicated in million years ago (Myr).

KIAA0125 (46) displayed 99.8% identity to the DNA sequence between the V6-1 segment and the D gene cluster. KIAA0125 is encoded by a single exon and its transcriptional orientation is in the opposite direction to that of the  $V_H$  segments. This cDNA has several interesting features, including an extremely short putative protein coding region (77 amino acid residues) and, in contrast, very long 5'- and 3'-untranslated regions (1,289 and 6,087 nucleotides, respectively) (46). In addition, its 3'-untranslated region contains two tandem repeats of 68- and 48-bp units. Moreover, the expression of KIAA0125 is limited to lymphoid organs (46). It is interesting to investigate its physiological roles because these characteristics are often found in imprinted genes including the H19 gene whose transcripts work as an RNA component of ribonucleoprotein particle (47–49).

We also found two processed pseudogenes within the largest spacer DNA between the V1-2 and V4-1.1P segments (Fig. 1). The 681-bp DNA segment located ~105 kb upstream of the  $J_H$  segments is 94.9% homologous to the human ribosomal protein S8 cDNA (50). Another DNA segment of 2,348 bp located ~133 kb upstream of the  $J_H$  cluster shows 89.9–91.4% homology to a series of cDNAs of the metalloprotease-like, disintegrin-like, cysteine-rich protein family of *Macaca* (51) (Fig. 1). Two copies of the 1.7-kb sequence showing the 77% homology to the 3'-half of human leukemia virus receptor 1 cDNA were identified in the spacer DNA between V1-18/V1-17P and V4-67.1P/V1-67 (52). These two distantly located DNA segments are 90.4% homologous and contain the common 5'-truncation, suggesting the integration of reverse transcribed human leukemia virus receptor 1 mRNA followed by the truncation and DNA duplication. Similarly, three copies of the DNA segment that show >86% nucleotide sequence homology to the 3'-most 500 bp of the human golgin-245 cDNA are also scattered within the locus (53) (Fig. 1).

*Structure of the Human 14q Subtelomeric Region.* Physical mapping studies (9) suggest that the 14q terminus is located several kilobases upstream of the 5' end of the YAC clone 13.3. Indeed, we could not find the complete repeat of human telomere-specific hexanucleotides CCCTAA at the distal end. However, the 5'-most 873 nucleotides contained a divergent telomeric repeat array of 181 bp which shares 69.1% homology to poly-(CCCTAA) sequence. Interestingly, this 873-bp DNA, which is unique within the  $V_H$  locus, showed striking similarity to the telomeric regions of human chromosome 4p (92.4%), 4q (93.0%), and 22q (94.3%) that have been deposited in GenBank/EMBL/DBJ databases. Since the telomeric region is hyper-recombinogenic and the telomeric region of one chromosome in an individual often corresponds to that of another chromosome in others (54), these might represent alleles. It is reported that the divergent telomeric repeat array appears several kilobases downstream of the complete telomere repeat (54). In chromosome 4p and 4q, the homologous DNAs to the above 873 bp are located 5 and 13 kb, respectively, downstream of the authentic telomere repeat. Taken together, the distance between chromosome 14q terminus and the 5' end of our contig would be  $\sim 10$  kb.

*GC Content and Genome-wide Repetitive Elements.* Cytogenetically, band 14q32.33 is an early replicating and G + C-rich R band. Other characteristics of R band are being rich in housekeeping genes, having G + C-rich third coding bases, and SINE-rich/LINE-poor genome composition. However, studies on the DNA replication classified the human  $V_H$  locus as a G-bandlike gene locus that replicates at the late stage of S phase, whereas the  $C_H$  locus was classified as R-bandlike (55). The third position in codons of  $V_H$  segments is A + T rich, again inconsistent with the cytogenetical observation (Hayashida, H., unpublished observations). We found that in this locus 893 kb upstream is A + T predominant (average 58.4%) while the 65 kb downstream is rich in G + C nucleotides (average 58.6%). The high G + C percentage at the  $J_H$ -proximal part appears to continue toward the  $C_H$  gene region. Existence of polypurine/polypyrimidine tracts has been reported at the boundary of A + T-rich class II and G + C-rich class III

gene clusters of human HLA locus (56). In the  $V_H$  locus, however, such tracts are not evident at the boundary. Nonetheless, the boundary may contain a switch point for DNA replication timing and scaffold-associated regions.

We looked for the content and distribution of various kinds of genome-wide repeats and identified 722 genome-wide repetitive elements, which correspond to as much as 41.8% of the entire locus. They are categorized into 136 SINE elements (133 Alu and 3 MIR), 340 LINE elements (338 LINE1 and 2 LINE2), 213 LTR elements (82 LTR-retrotransposon or MaLR, 69 retroviral LTR, and 62 retrovirus-like other LTR), 5 DNA transposons, and 25 medium reiteration frequency repetitive sequences (Fig. 1). LINE1 element is the largest contributor, constituting 23.2% of the locus while the number of Alu elements is much less than that expected by random distribution (239 copies) and constitutes a relatively small fraction (3.4%). Of note, only 2 copies out of 338 LINE1 elements contain the complete LINE1 structure of  $\sim 6$  kb whereas 278 copies are  $< 1$  kb in size.

Identification of a much larger number of LINE1 element in this study than in previous analysis by Southern blot (42) (44 Alu and 11 LINE1 hybridizing DNA fragments in the  $J_H$ -proximal 730-kb DNA) is due to the usage of the probe in the previous study, which corresponds to for the conserved portion between LINE1 subfamilies, resulting in the failure in detection of smaller copies lacking the conserved portion. In the case of Alu elements, the difference in the number mainly reflects the multiple Alu elements in a single restricted DNA fragment. In the human TCR  $V\beta$  locus, LINE1-rich/Alu-poor structure is consistent with its chromosomal location at G band (34). Discordance of the results between nucleotide and cytogenetical analyses in the human  $V_H$  locus may be attributed to the extraordinary chromosome structure of subtelomeric region. This locus is also rich in LTR elements (13.3% in total). Possible involvement of retroelement in gross changes of genome structure has been suggested recently (57). Abundant LTR elements may explain in part the dramatic difference in the organization of the  $V_H$  loci between humans and mice.

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